

Homeland Security Advanced Research Projects Agency

Government Support for Transition – Where can you find it?

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<http://www.cyber.st.dhs.gov>

DHS S&T Mission

Strengthen America's security and resiliency by providing knowledge products and innovative technology solutions for the Homeland Security Enterprise

- 1) Create new technological capabilities and knowledge products
- 2) Provide Acquisition Support and Operational Analysis
- 3) Provide process enhancements and gain efficiencies
- 4) Evolve US understanding of current and future homeland security risks and opportunities

FOCUS AREAS

- Bio
- Explosives
- Cybersecurity
- First Responders

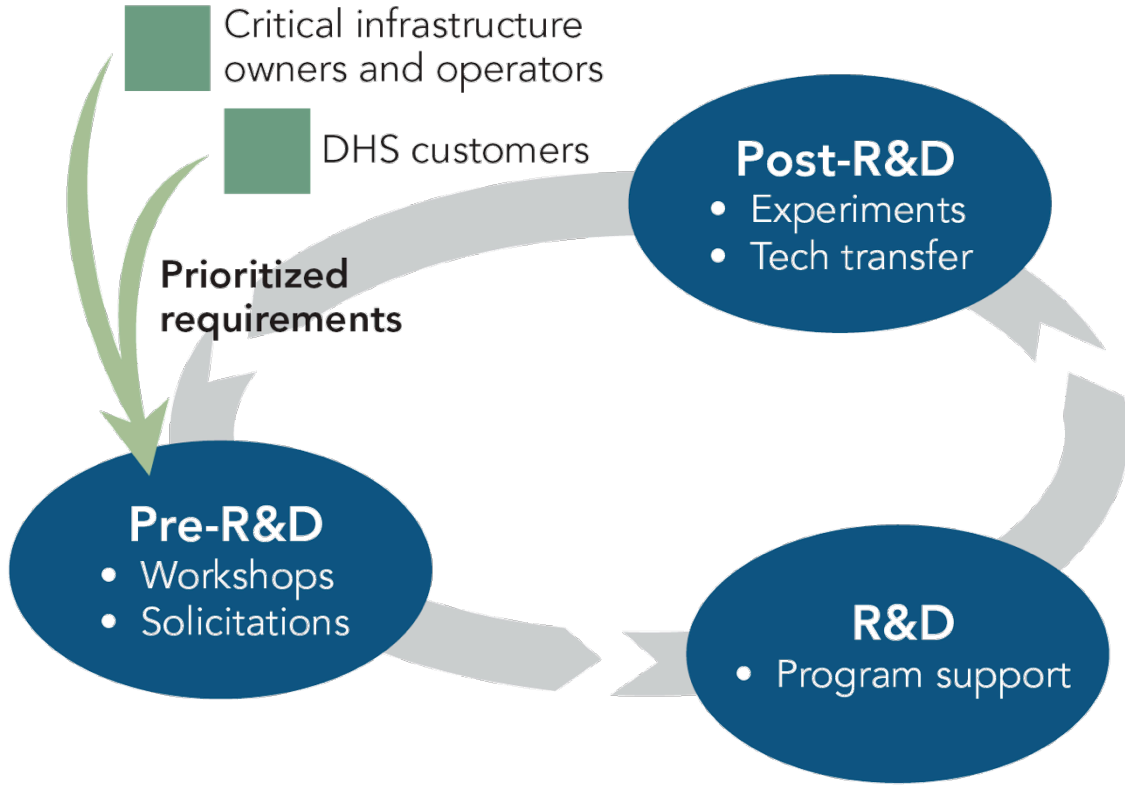


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CSD R&D Execution Model



**Research
Development
Test and Evaluation &
Transition (RDTE&T)**

Successes

- Ironkey – Secure USB
 - Standard Issue to S&T employees from S&T CIO
 - Acquired by Imation
- Komoku – Rootkit Detection Technology
 - Acquired by Microsoft
- HBGary – Memory and Malware Analysis
 - Over 100 pilot deployments as part of Cyber Forensics
- Endeavor Systems – Malware Analysis tools
 - Acquired by McAfee
- Stanford – Anti-Phishing Technologies
 - Open source; most browsers have included Stanford R&D
- Secure Decisions – Data Visualization
 - Pilot with DHS/NCSD/US-CERT; Acquisition

Programs for U. S. Small Business

Small Business Innovation Research (SBIR)

2.5%

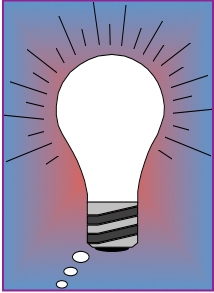
Set-aside program for small business concerns to engage in federal R&D -- with potential for commercialization

Small Business Technology Transfer (STTR)

.3%

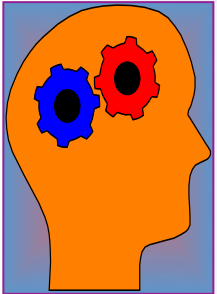
Set-aside program to facilitate cooperative R&D between small business concerns and research institutions -- with potential for commercialization

SBIR - A 3 Phase Program



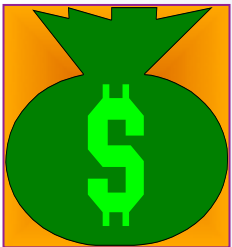
•PHASE I

- Feasibility Study
- \$100K (in general) and 6 month effort (amounts are changing)



•PHASE II

- Full Research/R&D
- \$750K and 24 month effort (amounts are changing)
- Commercialization plan required



•PHASE III

- Commercialization Stage
- Use of non-SBIR Funds

Agency SBIR Differences

- Number and timing of solicitations**
- R&D Topic Areas – Broad vs. Focused**
- Dollar Amount of Award (Phase I and II)**
- Proposal preparation instructions**
- Financial details (e.g., Indirect Cost Rates)**
- Proposal review process**
- Proposal success rates**
- Types of award**
- Commercialization assistance**
- And more.....**

Cyber Security R&D Broad Agency Announcement (BAA)

- Delivers both near-term and medium-term solutions
 - To **develop new and enhanced technologies** for the detection of, prevention of, and response to cyber attacks on the nation's critical information infrastructure, based on customer requirements
 - To perform research and development (R&D) aimed at **improving the security of existing deployed technologies** and to ensure the security of new emerging cybersecurity systems;
 - To **facilitate the transfer of these technologies** into operational environments.
- Proposals Received According to 3 Levels of Technology Maturity

Type I (New Technologies)

- ✓ Applied Research Phase
- ✓ Development Phase
- ✓ Demo in Op Environ.
- ✓ Funding ≤ \$3M & 36 mos.

Type II (Prototype Technologies)

- ✓ More Mature Prototypes
- ✓ Development Phase
- ✓ Demo in Op Environ.
- ✓ Funding ≤ \$2M & 24 mos.

Type III (Mature Technologies)

- ✓ Mature Technology
- ✓ Demo Only in Op Environ.
- ✓ Funding ≤ \$750K & 12 mos.



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Note: Technology Demonstrations = Test, Evaluation, and Pilot deployment in DHS "customer" environments

HOST Program

HOST = Homeland Open Security Technology

Closing government cybersecurity gaps by sponsoring open source projects

- Suricata Intrusions Detection System
- OpenSSL FIPS validation

...and helping government be able to find and deploy existing open source cybersecurity solutions

- Inventory of solutions, **[opencybersecurity.org](https://www.opencybersecurity.org)**
- Use cases & lessons learned reports
- Improved policy

Open Information Security Foundation and Suricata



- A new model for managing and sustaining innovation
 - A non-profit to develop and “own” the code
 - Software Freedom Law Center created the License pro bono
 - A consortium of companies providing support in exchange for not having to release changes
- Ground-up rewrite
 - Multi-Threaded
 - Automated Protocol Detection
 - File Identification and Extraction
 - GPU Acceleration



~\$1.2m in DHS funding was matched by ~\$8m in commercial sponsorship



Federal Cybersecurity R&D Strategic Plan



- Science of Cyber Security
- Research Themes
 - Tailored Trustworthy Spaces
 - Moving Target Defense
 - Cyber Economics and Incentives
 - Designed-In Security (New for FY12)
- **Transition to Practice**
 - **Technology Discovery**
 - **Test & Evaluation / Experimental Deployment**
 - **Transition/Adoption/Commercialization**
- Support for National Priorities
 - Health IT, Smart Grid, NSTIC (Trusted Identity), NICE (Education), Financial Services



Released Dec 6, 2011

<http://www.whitehouse.gov/blog/2011/12/06/federal-cybersecurity-rd-strategic-plan-released>



TTP Program Focus Areas



Identify

- Identify cyber security research that is at Technical Readiness Level (TRL) 5 or higher that can be projected into the Homeland Security Enterprise and beyond

Implement

- Partner with the IT operations groups within the Homeland Security Enterprise to pilot the cybersecurity technologies that are identified

Introduce

- Partner with the private sector to commercialize technology to bring the innovation to a broader audience



Transition To Practice Program Focus



R&D Sources

- DOE National Labs
- FFRDC's (Federally Funded R&D Centers)
- Academia
- Small Business

Transition processes

- Testing & evaluation
- Red Teaming
- Pilot deployments

Utilization

- Open Sourcing
- Licensing
- New Companies
- Adoption by cyber operations analysts
- Direct private-sector adoption
- Government use

DHS S&T Long Range Broad Agency Announcement (LRBAA) 12-07

- S&T seeks R&D projects for revolutionary, evolving, and maturing technologies that demonstrate the potential for significant improvement in homeland security missions and operations
- Offerors can submit a pre-submission inquiry prior to White Paper submission that is reviewed by an S&T Program Manager
- CSD has 14 Topic Areas (CSD.01 – CSD.14) – SEE NEXT SLIDE
- LRBAA 12-07 Closes on 12/31/12 at 11:59 PM
 - **There will be a new solicitation for 2013**
- S&T BAA Website: <https://baa2.st.dhs.gov>
- Additional information can be found on the Federal Business Opportunities website (www.fbo.gov) (Solicitation #:DHSS-TLRBAA12-07)



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LRBAA Summary Listing

- **CSD.01** – Comprehensive National Cybersecurity Initiative and Federal R&D Strategic Plan topics
- **CSD.02** – Internet Infrastructure Security
- **CSD.03** – National Research Infrastructure
- **CSD.04** – Homeland Open Security Technology
- **CSD.05** – Forensics support to law enforcement
- **CSD.06** – Identity Management
- **CSD.07** – Data Privacy and Information Flow technologies.
- **CSD.08** – Software Assurance
- **CSD.09** – Cyber security competitions and education and curriculum development.
- **CSD.10** – Process Control Systems and Critical Infrastructure Security
- **CSD.11** – Internet Measurement and Attack Modeling
- **CSD.12** – Securing the mobile workforce
- **CSD.13** - Security in cloud based systems
- **CSD.14** – Experiments – Technologies developed through federally funded research requiring test and evaluation in experimental operational environments to facilitate transition.



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Summary

- Cybersecurity research is a key area of innovation needed to support our future
- DHS S&T continues with an aggressive cyber security research agenda
 - Working to solve the cyber security problems of our current (and future) infrastructure and systems
 - Working with academe and industry to improve research tools and datasets
 - Looking at future R&D agendas with the most impact for the nation, including education
- Need to continue strong emphasis on technology transfer and experimental deployments



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