# Formally Correct Deep Perception For Cyber-Physical Systems **LiDAR localization with guarantees**

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### Context

• The **perception** pipeline of autonomous systems increasingly relies on deep learning methods, that provide **no formal guarantees** of correctness or performance.



## Algorithm

- We previously developed a registration algorithm named **PASTA** [1] (Provably Accurate Simple Transformation Alignment).
- It is **fast** and comes with worst-case guarantees.

**Formal Guarantees** 

deterministic error

improvement in the

**bounds**, any

in practice.

• While we already proved

that PASTA enjoys formal

tightness is very important

Algorithm 1 PASTA **Input:** Point clouds  $\{\mathbf{r}_1^{(i)}\}_{i=1}^{m_1}, \{\mathbf{r}_2^{(i)}\}_{i=1}^{m_2}$ **Output:** Transformation  $\hat{\mathbf{R}}, \hat{\mathbf{p}}$ for each point cloud i do  $H_i \leftarrow \text{convex hull of } \{\mathbf{r}_i^{(j)}\}_{i=1}^{m_i}$  $\mathbf{c}_i, \mathbf{\Sigma}_i \leftarrow \text{first and second moments of } H_i$ end for  $\hat{\mathbf{R}} \leftarrow \text{closed-form solution of } \boldsymbol{\Sigma}_2 = \mathbf{R} \boldsymbol{\Sigma}_1 \mathbf{R}^T$  $\hat{\mathbf{p}} \leftarrow \text{closed-form solution of } \mathbf{c}_2 = \mathbf{R}\mathbf{c}_1 + \mathbf{p}$ 



- We aim to provide such guarantees, in two possible ways:
  - proving deterministic worst-case bounds on learning models;
  - using a **supervisor** to correct the learning method when needed (this poster).
- Meeting our goals will have major impact by:
  - boosting the adoption of provably safe autonomous cars, UAVs, and other autonomous platforms.
  - reducing risks associated with existing autonomous vehicles
  - introducing new graduate courses and on the intersection of learning and control.

### Problem

- **Point cloud registration** (relating two LiDAR scans from different poses) is a key step in localization/SLAM.
- We want a reliable way to perform *global* registration. I.e., no "good" initialization" should be required for correctness.
- Existing algorithms are *local* and need point correspondences, which may not exist in LiDAR point cloud.

- In [2] we massively tightened the provable bound, resulting in the **theorem** to the right ( $\delta$ ) describes the overlap of the two point clouds).
- The new bound scales substantially better with the overlap, especially in the regime of high  $\delta$  (low 1- $\delta$  in the plot to the right).

### **Theorem**: Given an environment size *p* and overlap $\delta$ , the error between PASTA's estimate and the true transformation is bounded:





### **PASTA Supervised Neural Network**

### **Error Bounds in Practice**

• We verify our improved theoretical bounds experimentally.





A robot moves in an environment with multiple obstacles while recording point clouds with a 2D Lidar.



Greater lag means lower overlap δ between the point clouds. The bound degrades gracefully as lag increases.



• We train a **neural network** to perform localization using point clouds as input, and test it on the trajectory data we collected.



- PASTA and its bound act as a **supervisor** for the neural network.
- The neural network has good average performance, but sometimes behaves poorly. The **PASTA** supervisor bounds the network's output, maintaining a limited worst-case error.

[1] M. Marchi, J. Bunton, B. Gharesifard, P. Tabuada. "LiDAR Point Cloud Registration" with Formal Guarantees." IEEE 61st Conference on Decision and Control. 2022. [2] M. Marchi, J. Bunton, Y. Gas, B. Gharesifard, P. Tabuada. "Sharp Performance Bounds for Pasta". IEEE Control Systems Letters. 2023.

