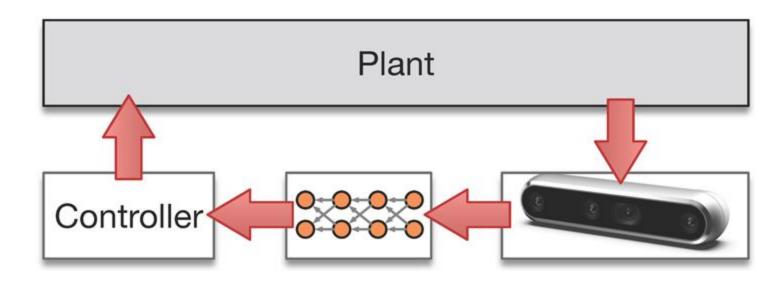
# Formally Correct Deep Perception For Cyber-Physical Systems LiDAR localization with guarantees

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### Context

 The perception pipeline of autonomous systems increasingly relies on deep learning methods, that provide no formal guarantees of correctness or performance.



- We aim to provide such guarantees, in two possible ways:
  - proving deterministic worst-case bounds on learning models;
  - o using a **supervisor** to correct the learning method when needed
- Meeting our goals will have major impact by:
  - boosting the adoption of provably safe autonomous cars, UAVs, and other autonomous platforms.
  - reducing risks associated with existing autonomous vehicles
  - introducing a new graduate course on the intersection of learning and control.

### **Problem**

- Point cloud registration (relating two LiDAR scans from different poses) is a key step in localization/SLAM.
- We want a reliable way to perform global registration. I.e., no "good" initialization" should be required for correctness.
- Existing algorithms are *local* and need point correspondences, which may not exist in LiDAR point clouds.
- Old PASTA algorithm requires a convex environment to provide bounds, which limits its usability.

### Old PASTA

- We previously developed a registration algorithm named **PASTA** [1,2] (Provably Accurate Simple Transformation Alignment).
- It is **fast** and comes with worst-case **guarantees**.

#### Algorithm 1 PASTA

**Input:** Point clouds  $\{\mathbf{r}_1^{(i)}\}_{i=1}^{m_1}, \{\mathbf{r}_2^{(i)}\}_{i=1}^{m_2}$ Output: Transformation  $\hat{\mathbf{R}}, \hat{\mathbf{p}}$ for each point cloud i do

 $H_i \leftarrow \text{convex hull of } \{\mathbf{r}_i^{(j)}\}_{j=1}^{m_i}$ 

 $\mathbf{c}_i, \mathbf{\Sigma}_i \leftarrow \text{first and second moments of } H_i$ 

end for

 $\hat{\mathbf{R}} \leftarrow \text{closed-form solution of } \mathbf{\Sigma_2} = \mathbf{R} \mathbf{\Sigma}_1 \mathbf{R}^T$ 

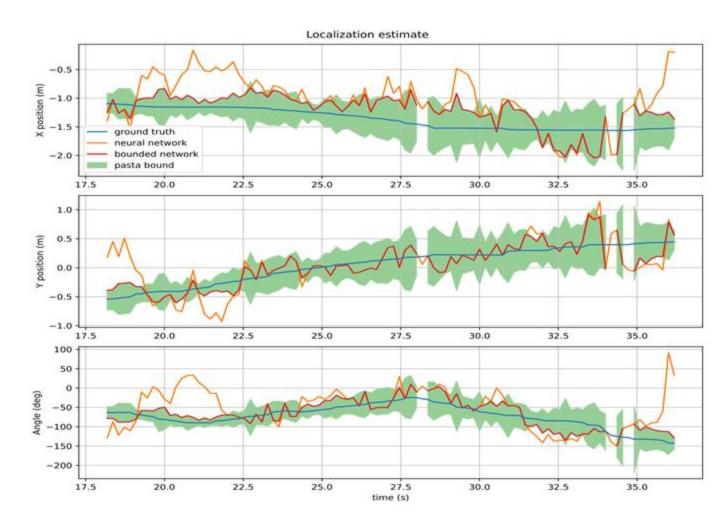
 $\hat{\mathbf{p}} \leftarrow \text{closed-form solution of } \mathbf{c}_2 = \mathbf{R}\mathbf{c}_1 + \mathbf{p}$ 

[1] M. Marchi, J. Bunton, B. Gharesifard, P. Tabuada. "LiDAR Point Cloud" Registration with Formal Guarantees." IEEE 61st Conference on Decision and Control. 2022.

[2] M. Marchi, J. Bunton, Y. Gas, B. Gharesifard, P. Tabuada. "Sharp Performance Bounds for Pasta". IEEE Control Systems Letters. 2023.

# **Old PASTA Supervised Neural Network**

• We train a **neural network** to perform localization using point clouds as input, and test it on the trajectory data we collected.



- Old PASTA and its bound act as a **supervisor** for the neural network.
- The neural network has good average performance, but sometimes behaves poorly. The old PASTA supervisor bounds the network's output, maintaining a limited worstcase error.

# **Triangle PASTA**

- We developed Triangle PASTA to extend the old PASTA to nonconvex environments, using triangles to find common areas in both scans (highlighted in orange).
- The overlapping areas in both scans are passed to old PASTA to estimate the rototranslation and calculate bounds error bounds

### Algorithm 1 Triangle PASTA

Input Point clouds  $\{r_1^{(i)}\}_{i=1}^{m_1}, \{r_2^{(i)}\}_{i=1}^{m_2}$ Output Transformation  $\mathbf{R}, \hat{p}$ 

 $T_1 \leftarrow \text{triangulation of } \{r_1^{(i)}\}_{i=1}^{m_1}$ 

 $T_2 \leftarrow \text{triangulation of } \{r_2^{(i)}\}_{i=1}^{m_2}$ 

 $(M_1, M_2) \leftarrow \text{matched triangles points}$ 

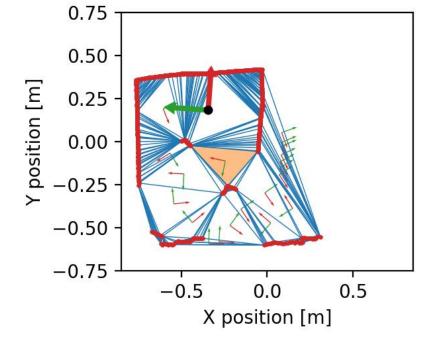
for i=1,2 do

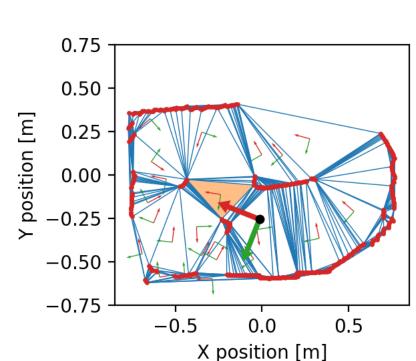
 $H_i \leftarrow \text{convex hull of } M_i$ 

 $c_i, \Sigma_i \leftarrow \text{first and second moments of } H_i$ 

end for

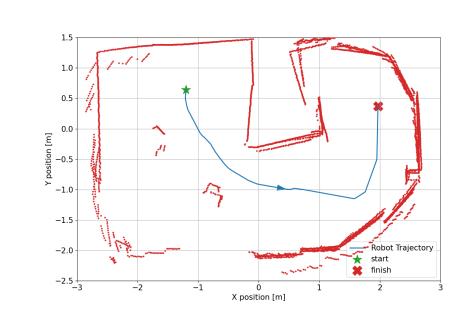
 $\hat{R} \leftarrow \text{closed form solution of } \Sigma_2 = R \Sigma_1 R^\intercal$  $\hat{p} \leftarrow \text{closed form solution of } Rc_1 + p$ 





# **Triangle PASTA Performance**

We compare Triangle PASTA's performance against old PASTA.



 A robot moves in a non-convex environment so that its starting position is completely occluded at the end of its path while recording point clouds with a 2D LiDAR.

- We align each point cloud along the robot's trajectory with the initial point cloud.
- Triangle PASTA provides more accurate rototranslation estimates than old PASTA, especially as the overlap between point clouds shrinks.

