



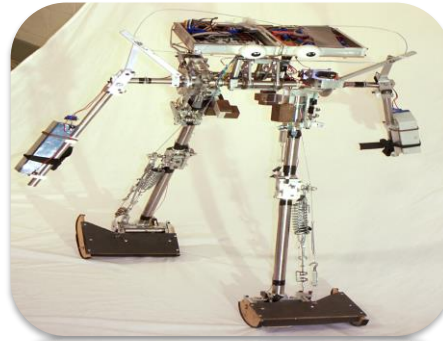
# Provably Correct Learning

Anayo K. Akametalu

Advisor: Dr. Claire J. Tomlin

Hybrid Systems Lab, UC Berkeley

Biped Walking



Adaptive Control



Autonomous Driving



# Learning in Robotic Systems

Helicopter Flight



Domestic Robots



[Images: BMW, Cornell, MIT UC Berkeley, Stanford, and Tohoku Univers

What about Safety (Correctness)?

# Learning

for **Safety-Critical** Systems



Can we learn to *fly from scratch*?

Asymptotically, **Yes!**

...but must avoid **crashing** first

We need a framework for **safe learning**

# Model Assumptions

with quadrotor example

Deterministic dynamical system with bounded additive uncertainty

$$\dot{x} = f(x, u) + d(x)$$

States  $x \in \mathcal{X}$

Vertical position and velocity

Control  $u \in \mathcal{U}$

Thrust

Disturbance  $d(x) \in \mathcal{D}$

Uncertainty in payload  
Ground effect  
Nonlinearities



# Hamilton-Jacobi-Isaacs (HJI) [Mitchell 2005]

## Safety as a Dynamical Game

$$\dot{x} = f(x, u) + d(x)$$

$$u \in \mathcal{U}, d \in \mathcal{D}$$

Avoid  $\mathcal{K}$  :

control  $u$  vs. disturbance  $d$

$l(x)$ : negative in **keep-out**  
and positive otherwise

Propagate dynamics backwards in time:

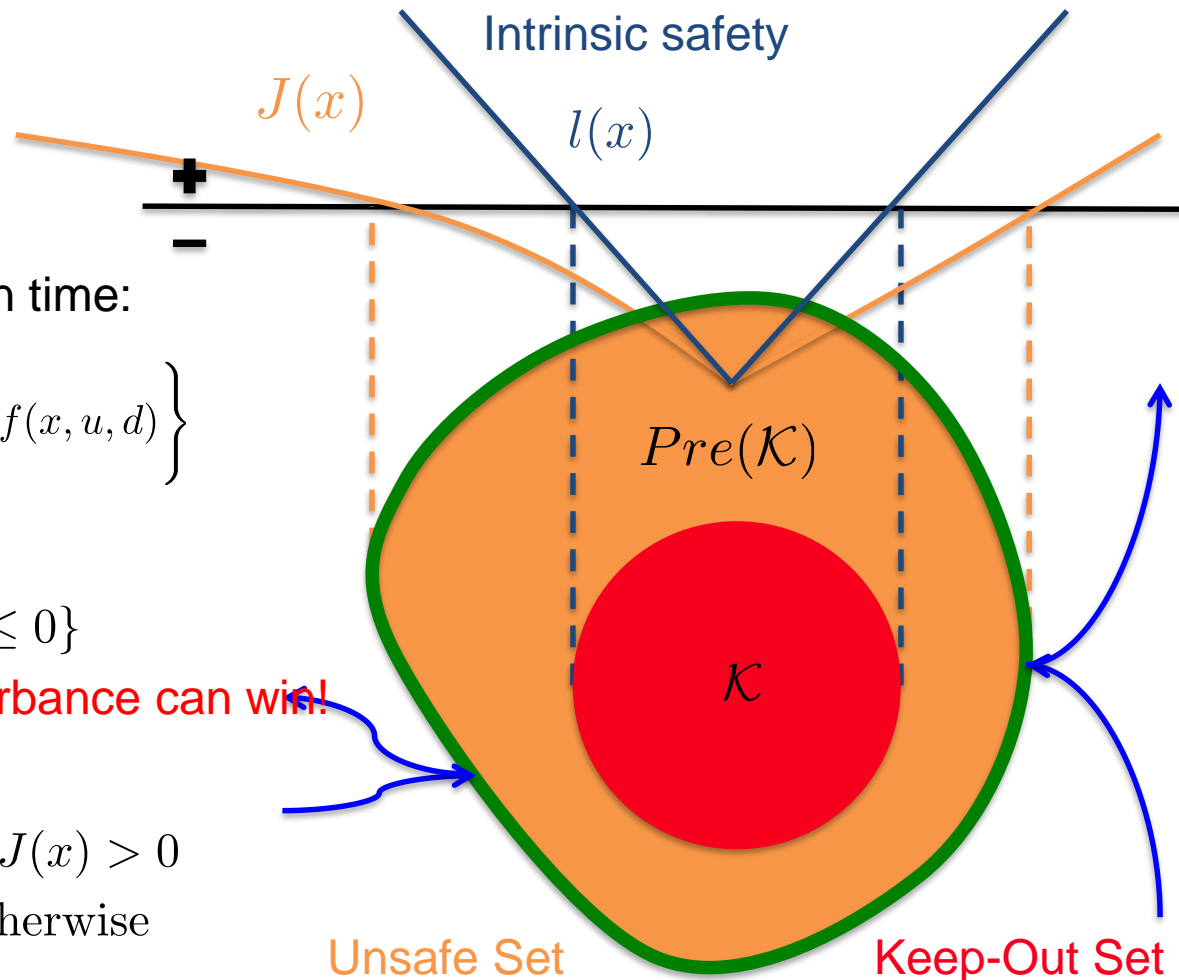
$$\frac{\partial J(x, t)}{\partial t} = - \min \left\{ 0, \max_{u \in \mathcal{U}} \min_{d \in \mathcal{D}} \frac{\partial J(x, t)}{\partial x}^T f(x, u, d) \right\}$$

$$J(x, 0) = l(x)$$

**Unsafe Set:**  $Pre(\mathcal{K}) = \{x : J(x) \leq 0\}$

**Set of initial states for which disturbance can win!**

**Least Restrictive Control Law:** 
$$u \in \begin{cases} \mathcal{U}, & \text{if } J(x) > 0 \\ u^*(x), & \text{otherwise} \end{cases}$$

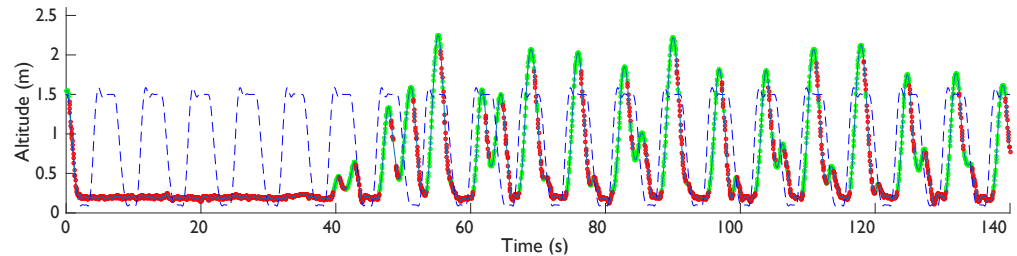


# Safe Learning

with Initial Zero-Controller

First thing the quadrotor does is **drop**

Can we learn to **fly from scratch?**



After about **1 minute**,  
it can **roughly track the trajectory**

Soon, it starts **experimenting**

...but the **safe controller** steps in

# Guaranteed Safety

...but too restrictive

What if we knew the dynamics exactly?

Learn **disturbance function** then recompute **value function**

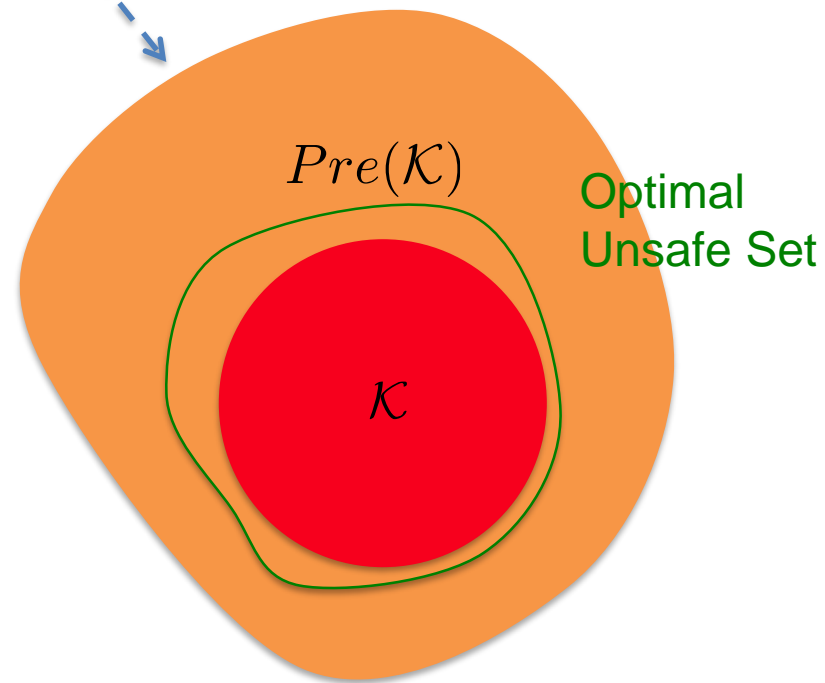
[Gillula and Tomlin, 2012]

$$\dot{x} = f(x, u, d(x))$$

$$\frac{\partial J(x, t)}{\partial t} = - \min \left\{ 0, \max_{u \in \mathcal{U}} \min_{d \in \mathcal{D}} \frac{\partial J(x, t)}{\partial x} f(x, u, d) \right\}$$

Unsafe Set:  $Pre(\mathcal{K}) = \{x : J(x) \leq 0\}$

Least Restrictive Control Law:  $u \in \begin{cases} \mathcal{U}, & \text{if } J(x) > 0 \\ u^*(x), & \text{otherwise} \end{cases}$





What if we learned a poor model?

# Model Validation

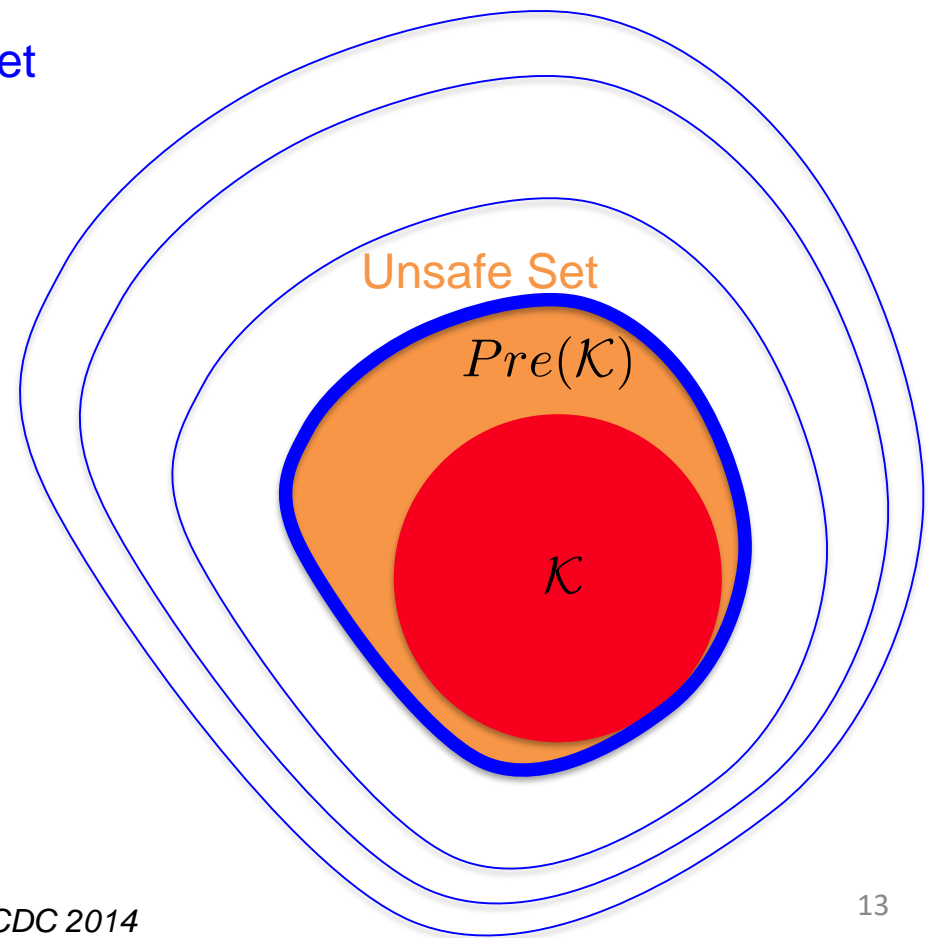
Validate model online and adjust controller

Infinite number of candidate unsafe sets  $\mathcal{D}$  (or)

$$u^*(x)$$

Initialize:

Active unsafe set = smallest candidate set



# Model Validation

Validate model online and adjust controller

Infinite number of candidate unsafe sets  $\mathcal{D}(x)$

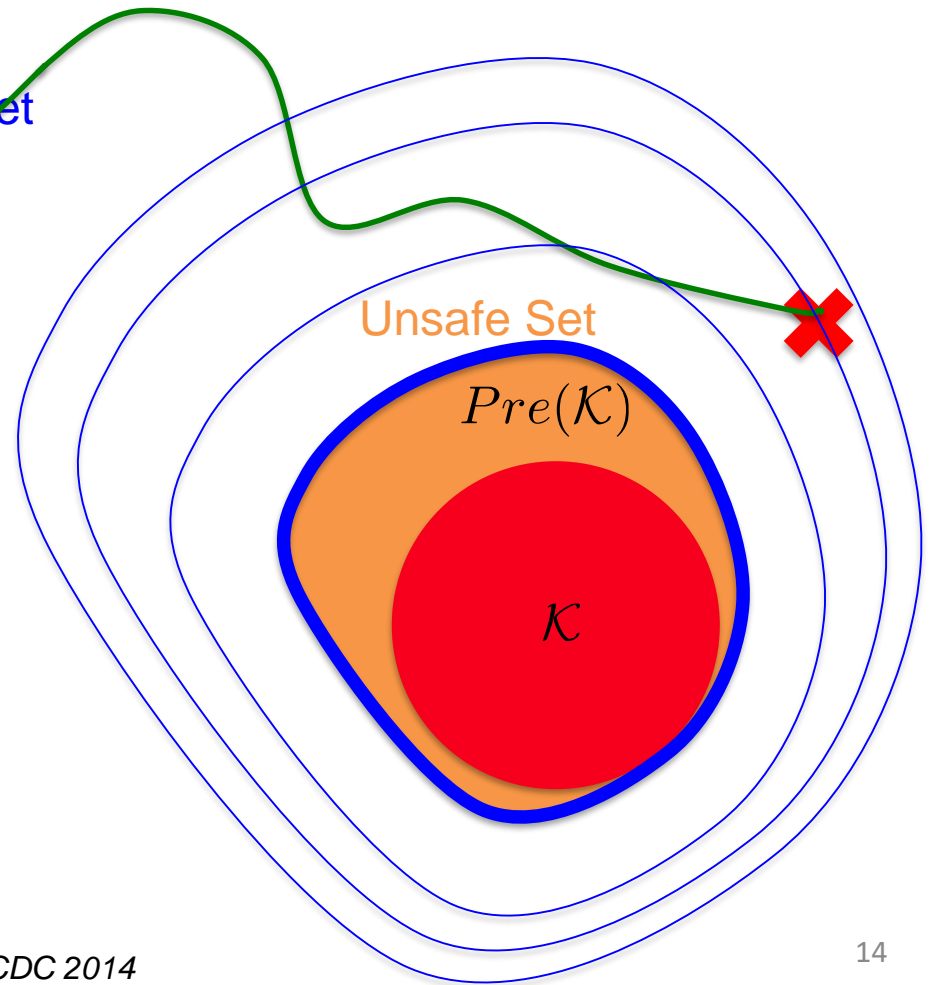
$u^*(x)$

Initialize:

Active unsafe set = smallest candidate set

Validate measured disturbance at visited states against  $\mathcal{D}(x)$

Detected model inaccuracy



# Model Validation

Validate model online and adjust controller

Infinite number of candidate unsafe sets  $\mathcal{D}(x)$

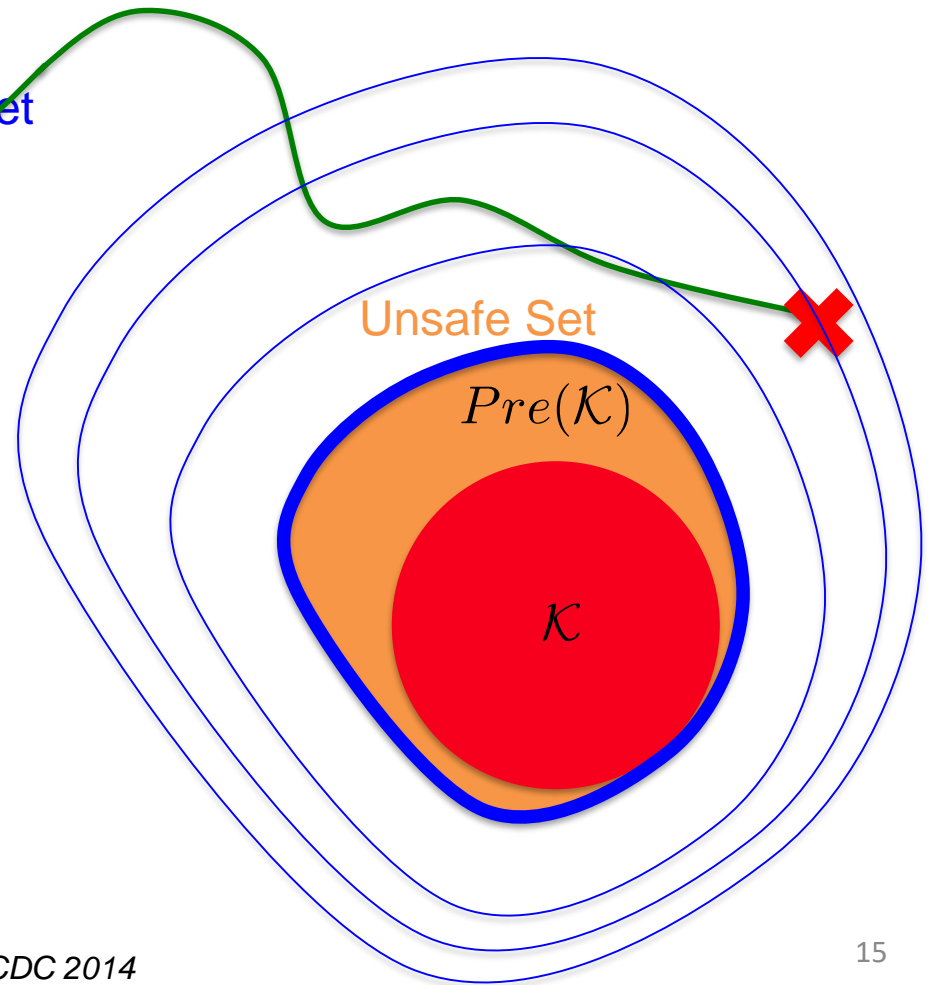
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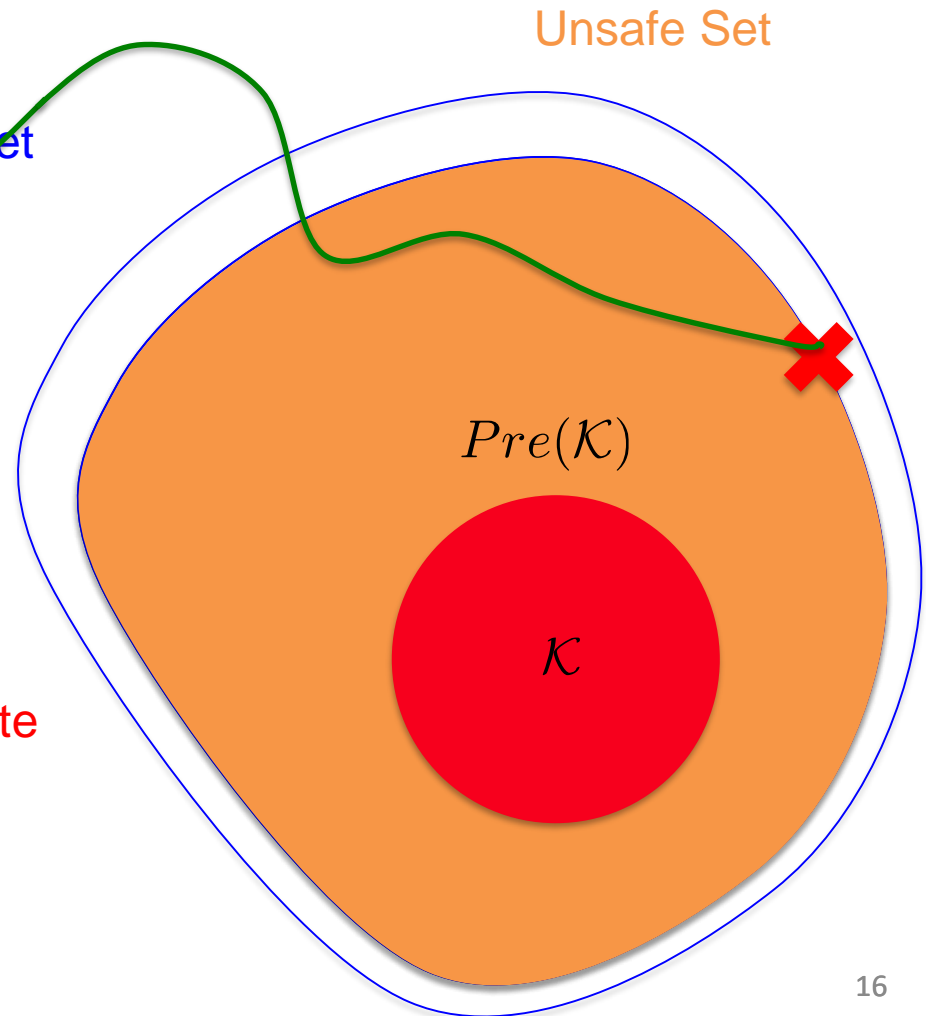
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Validate measured disturbance at visited states against  $\mathcal{D}(x)$

Detected model inaccuracy

Expand unsafe set to include current state



# Model Validation

Validate model online and adjust controller

Infinite number of candidate unsafe sets  $\mathcal{D}(x)$

$u^*(x)$

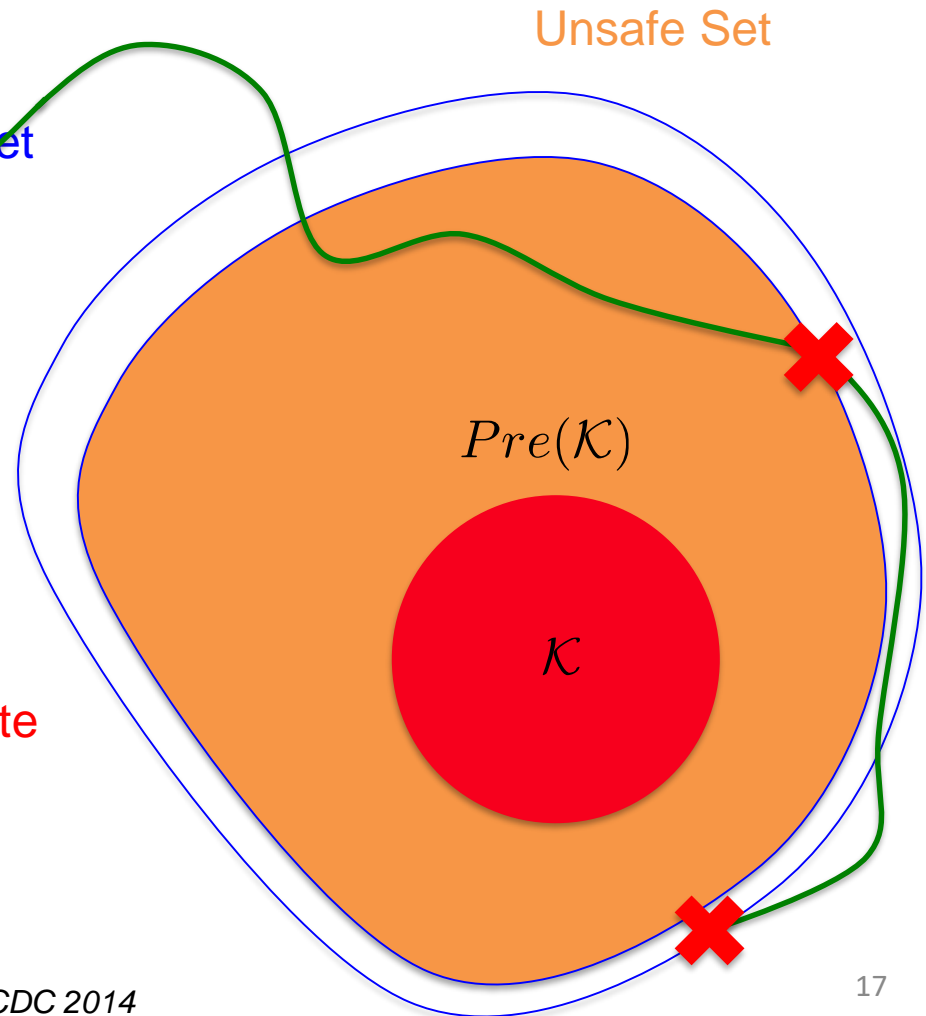
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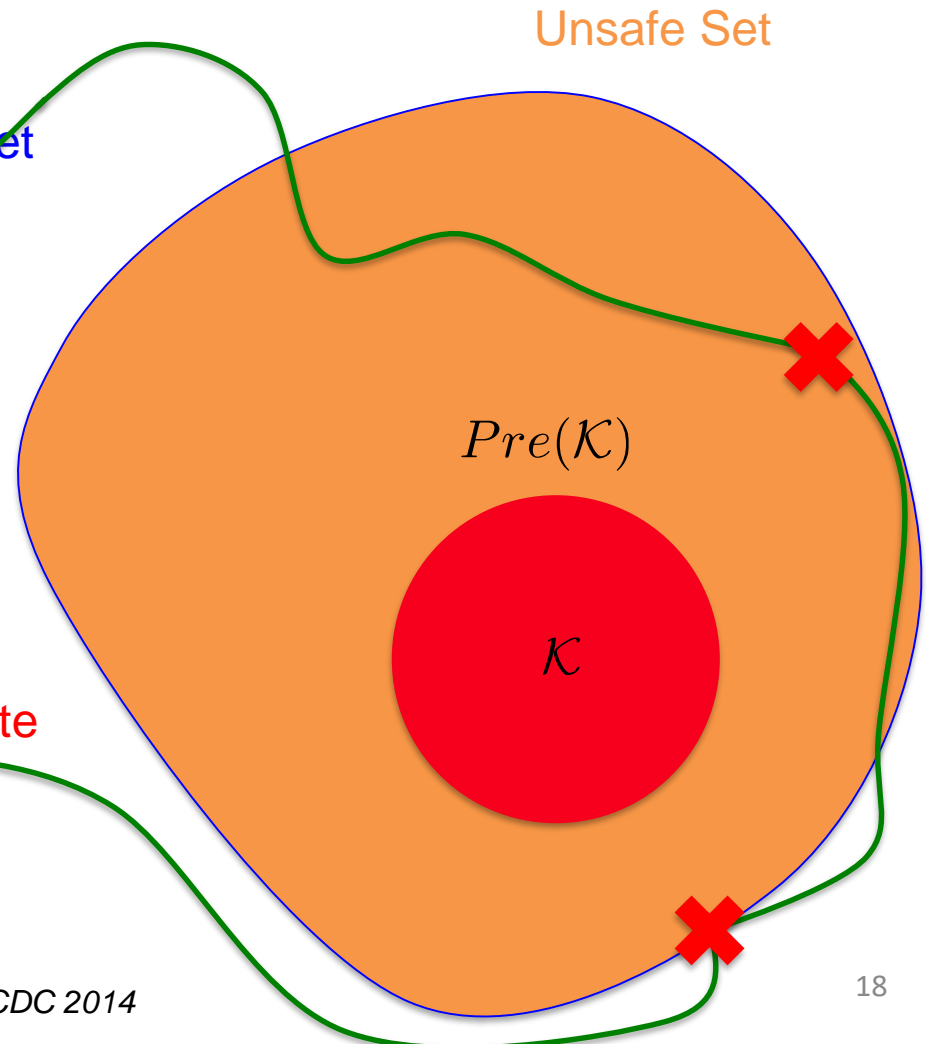
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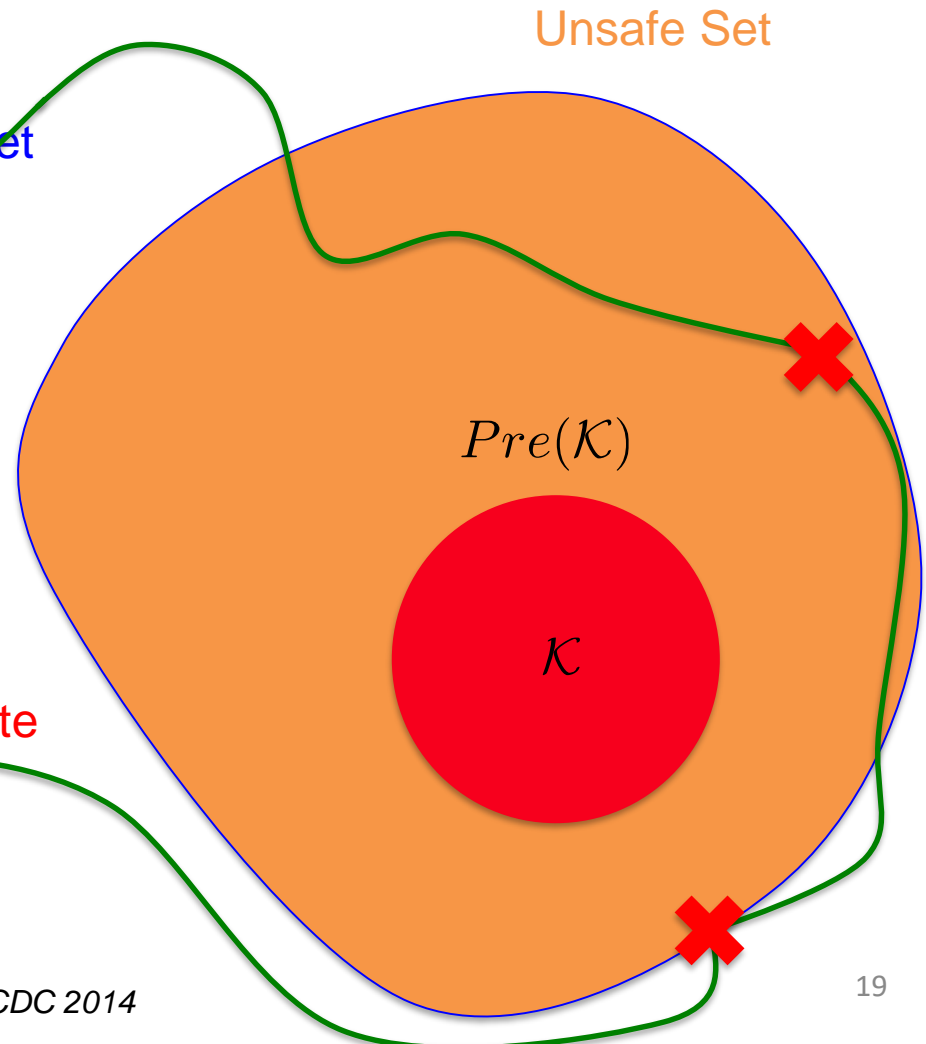
Active unsafe set = smallest candidate set

Validate measured disturbance at visited states against  $\mathcal{D}(x)$

Detected model inaccuracy

Expand unsafe set to include current state

Recompute  $\mathcal{D}(x)$  and repeat





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Validate model online and adjust controller

Infinite number of candidate unsafe sets  $\mathcal{D}(x)$

$$u^*(x)$$

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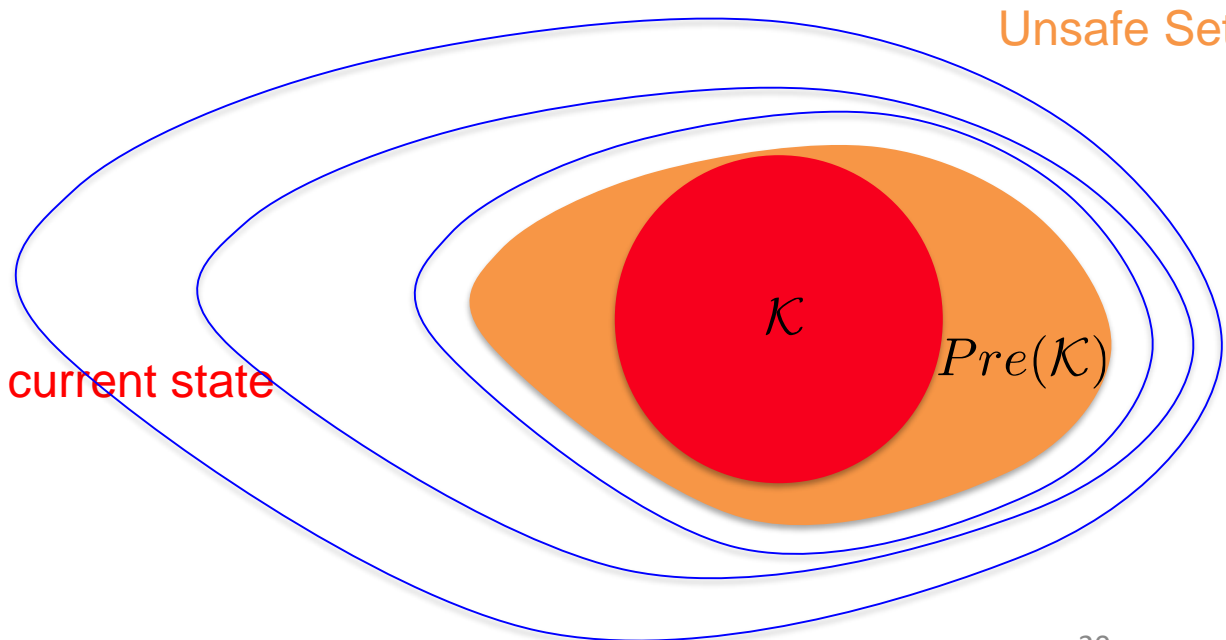
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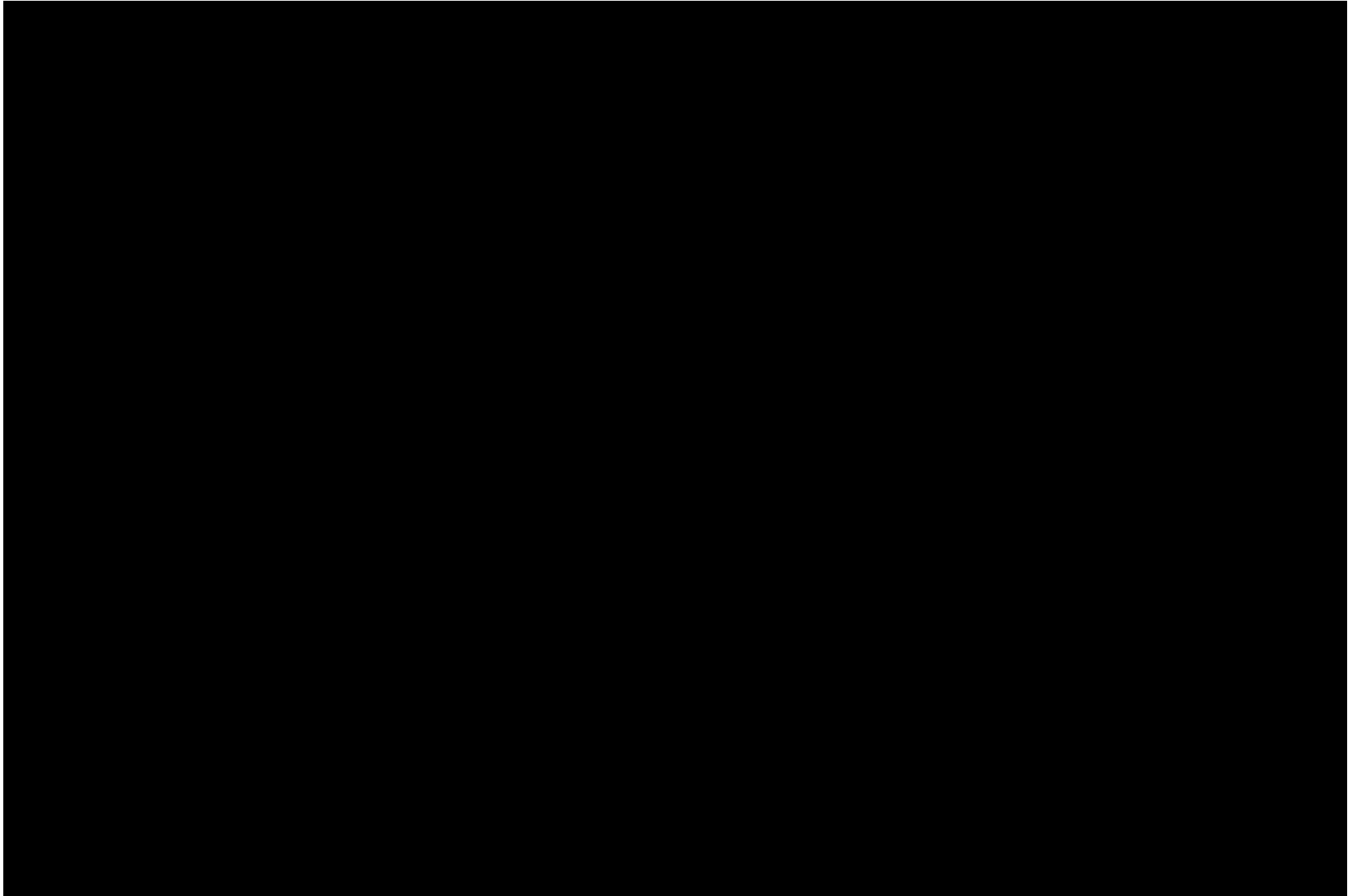
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# Model Validation (Demo)



# Summary

## Framework for Safe Learning

General

Constraints satisfied given accurate model

## Model Inference

Reduced conservativeness

Allows flexibility in learning new models

## Model Validation

Computationally cheap online model validation

Robust to modeling error

Allows flexibility in learning new models

Thank you. Questions?