



# Robust Control for Safety and Security

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# New Technologies Enable New Operational Concepts

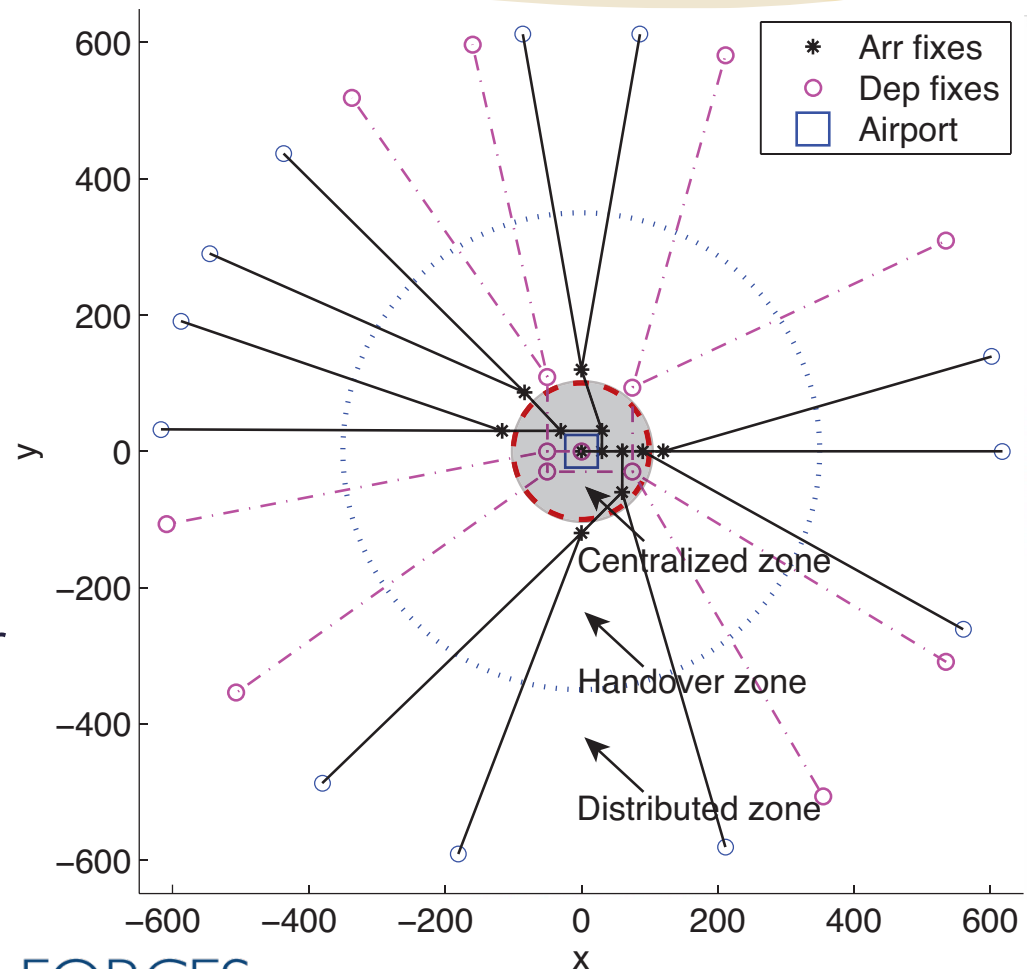
- \* Today's operations
  - \* Surveillance using ground-based radar systems
  - \* Primarily “procedural” approach to air traffic control
  - \* Manual handoffs between controllers with little prior coordination
  - \* Radio communications between pilots and controllers
- \* NextGen operational concepts
  - \* Satellite-based surveillance technologies: ADS-B
  - \* Increased potential for control and optimization algorithms
    - \* Increased availability of state information (onboard and ground)
    - \* Datalink capabilities

# Opportunities and Challenges

- \* Increased potential for control and optimization algorithms
  - \* Enhancing system capacity
  - \* Improving operational efficiency
  - \* Maintaining/improving system safety
- \* New challenges
  - \* Interactions between new and legacy infrastructure
  - \* Information security
    - \* GPS jamming/spoofing
    - \* Detecting adversaries in the presence of uncertainties
  - \* Incentives for participation
    - \* Cost vs. potential benefit of collaboration
    - \* Risks associated with information-sharing

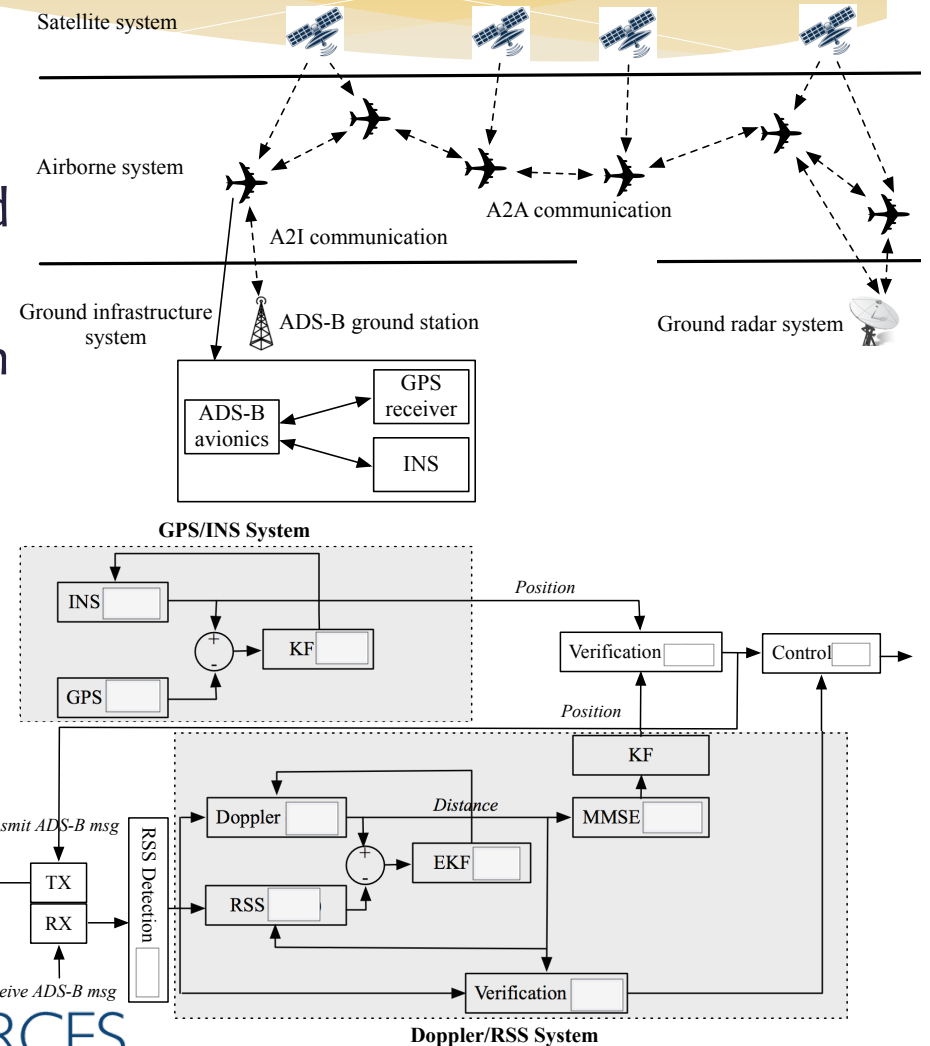
# Hybrid Communication/Control Algorithms

- \* Objectives: Safety and efficiency
- \* Conflict detection and resolution
- \* Optimize State Update Interval
- \* Minimize flight times
- \* Decentralized at longer range
- \* Low traffic density
- \* ADS-B surveillance
- \* Max transmit power
- \* Handover zone
- \* Decentralized control
- \* Adaptively adjust transmit power
- \* Centralized close to the airport
- \* High traffic density
- \* Min transmit power
- \* Ground radar surveillance
- \* Augmented by ADS-B



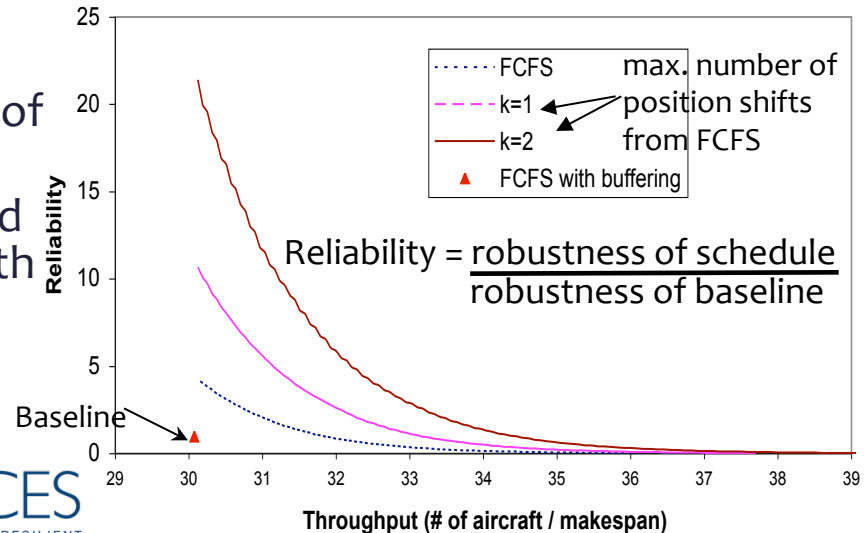
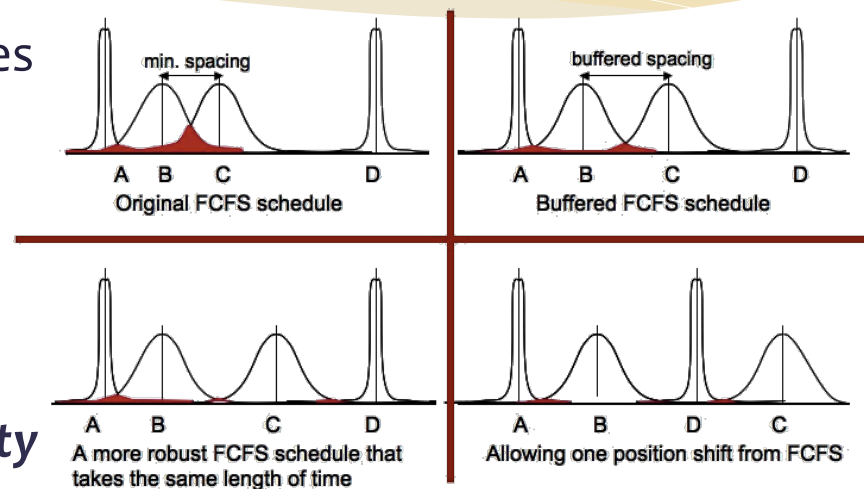
# High Confidence Networked Control

- \* Secure, fault-tolerant control in the presence of adversaries
- \* Distributed control using onboard threat detection
  - \* GPS and inertial sensor data fusion
  - \* Verification using Doppler effect and RSS of ADS-B messages from neighboring aircraft
- \* Control objectives
  - \* Conflict avoidance, maintaining separation in the presence of uncertainty
  - \* Minimizing flight times
  - \* Fault detection



# Safe, Efficient and Robust Scheduling

- \* Sequenced to land (takeoff) on a runway, and determine their landing (takeoff) times
- \* Separation requirements (safety)
- \* Limited flexibility afforded to air traffic controllers
- \* Operational constraints (including arrival/departure time windows)
- \* Precedence constraints
- \* **Objectives:** Throughput, robustness, *equity*
- \* Results
  - \* Solution space can be represented as a network whose size is linear in the number of aircraft
  - \* Various interesting extensions can be solved in (pseudo-)polynomial time as shortest-path problems on variations of this network
  - \* Can evaluate tradeoffs between multiple objectives



Chandran & Balakrishnan, ACC 2008  
 Balakrishnan & Chandran, Oper. Res., 2010  
 Lee & Balakrishnan, Proc. of IEEE, 2008



# Resource Reallocation in the Presence of Uncertainty

- \* Initial allocation of resources typically adopt an optimistic view of capacity
- \* Algorithms for reallocating resources given stochastic capacity forecasts
  - \* Exchange mechanisms
    - \* Pareto-efficiency (no other allocation preferable to all airlines)
    - \* Voluntary participation (incentive to participate)
    - \* Incentive compatibility (incentive to report true preferences)
    - \* Core allocation (no incentive for airlines to deviate by forming coalitions)
  - \* Stochastic optimization algorithms given scenario-tree forecasts
    - \* Evaluation of incentives to participate, using realistic aircraft delay costs
    - \* Evaluation of tradeoffs between adaptability (extent of dynamic replanning) and flexibility available to airlines
  - \* Mechanisms that combine optimization with (monetary) transfers

# Summary

- \* New technologies present opportunities for robust control algorithms
- \* New challenges pertaining to
  - \* Safety
  - \* Security
  - \* Information-sharing
  - \* Interactions between new and legacy infrastructure systems
  - \* Integration and co-design of Economic Incentives (EI) and Robust Control (RC) algorithms for better system performance