

# CPS and IoT Foundations: Performance and Resilience

---

Radha Poovendran  
Professor and Chair  
Department of Electrical Engineering  
Director, Network Security Lab (NSL@UW)  
University of Washington, Seattle  
[rp3@uw.edu](mailto:rp3@uw.edu)

# Outline

---

## **Resilience [DHS]:**

- (1) Ability to withstand disruption event with little loss in function
- (2) Rapidly and efficiently restore functionality if loss incurred

## **Three Topics in Resilience and Performance**

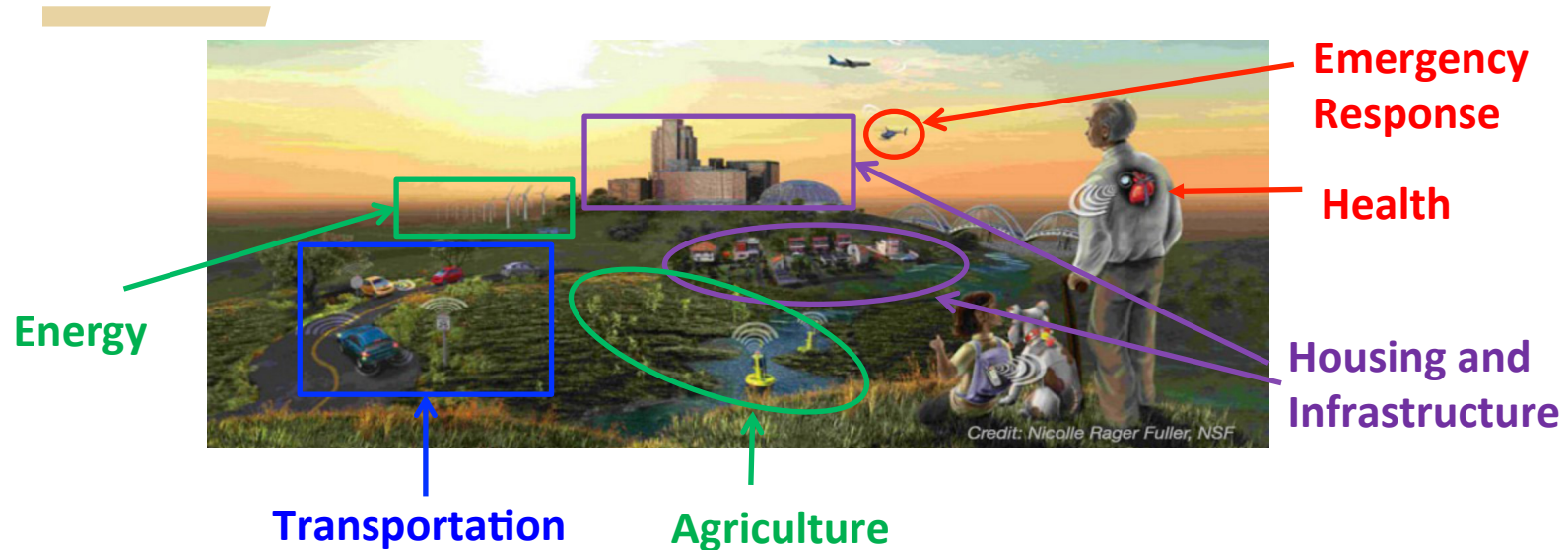
Composition for Resilience and Performance

Resilience and Emerging Threats on Cyber-Physical Systems

Performance and Resilience with Human in the loop



# Towards a Science of Composition for Performance and Resilience



Large scale CPS is a system of systems with each subsystem being a CPS

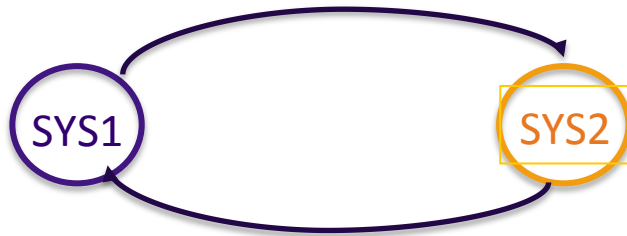
## Challenges:

- Ensuring performance and resilience of each component
- Guaranteeing **safety and availability** of the overall system

Need a **new design approach** for the science of composition

# Challenges and Approaches for Composition for Performance and Resilience

Switching



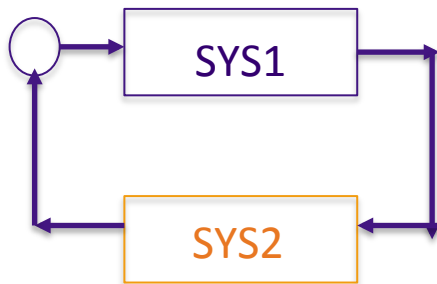
First step: guarantee stability around desired operating point for each subsystem

Composition of stable systems **may not be stable** (Feedback interconnection, Switched systems...)

## Possible approaches

Composition of hybrid systems [Tabuada `09]

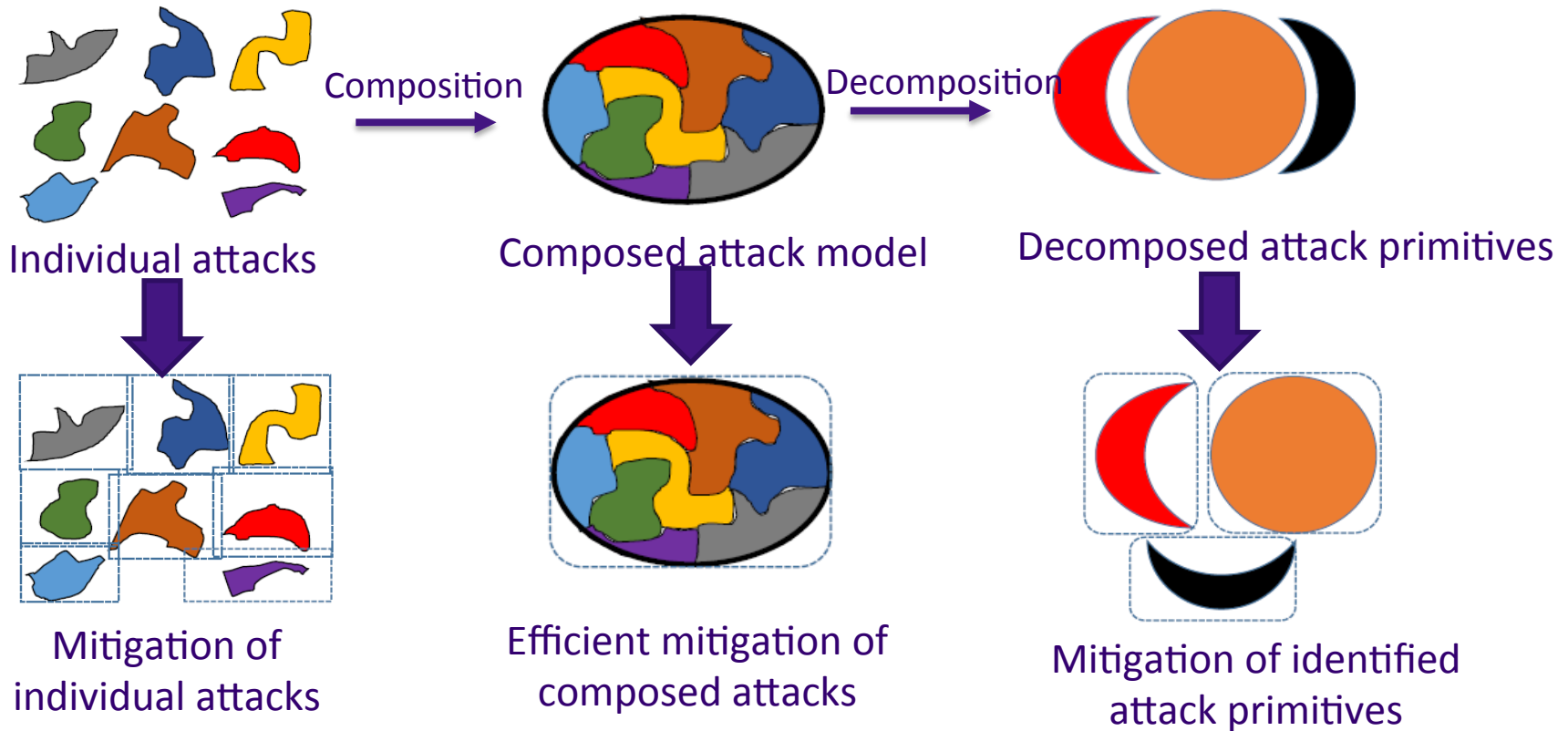
**Passivity-based** composition [Sztipanovits et al `12]



Each subsystem will be subject to multiple attacks

**Can we provide compositional approach for security?**

# Passivity-Based Composition of Cyber Attacks

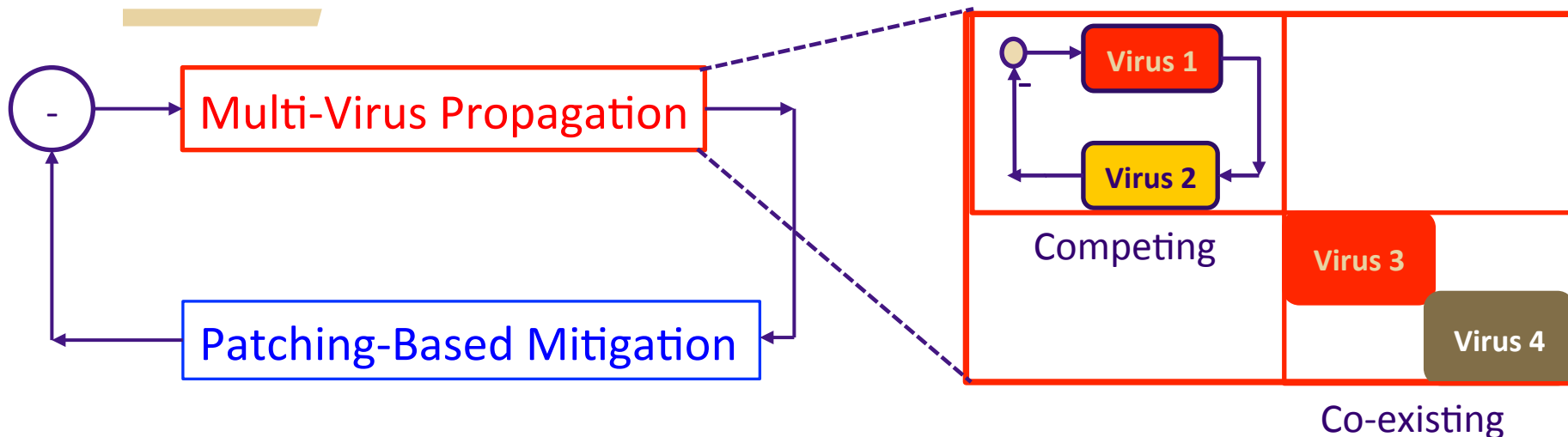


## Questions:

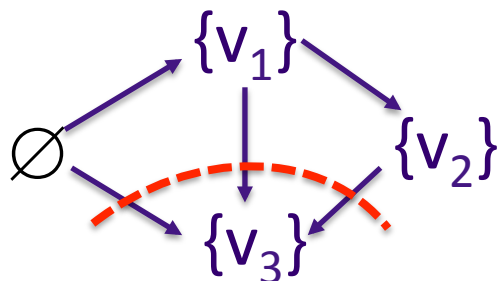
Identify class of attacks that admit passive representation

Quantify degree of deviation for attacks that do not admit passive representation

# Passivity-Based Composition of Multi-Virus Propagation



The required patching rate is determined by the passivity index



Set of malwares:  $\{v_1, v_2, v_3\}$

**passivity index:** maximum sum of transition rates into any  $v_i$

# Open Questions on Composition for Performance and Resilience

---

- Metrics to quantify uncertainty/deviation in developed model
- Compositions for joint performance and resilience
- Incorporate stochastic and adversarial uncertainties
- Ensure temporal and spatial scalability of composition
- Develop a science of decomposition for composed attack models
- Developing a decomposition approach for joint performance and resilience
- Integrate stochastic and dynamic interdependencies
- Design methods to disconnect subsystem for failure prevention (e.g., controlled islanding)
- Leverage interdependencies to prevent cascading failures





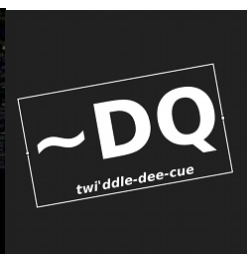
# Emerging Threats on Cyber-Physical Systems: Advanced Persistent Threats (APTs)

- State-level actors with highly sophisticated attacks
- Cost of \$375 – \$575 billion annually
- Attacks targeting physical functionalities and data
- Stealthy and adaptive
- Persists for an extended period of time



Stuxnet

2009



Duqu

2011



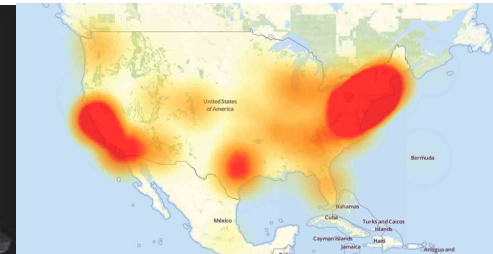
Flame

2012



Duqu 2.0

2015

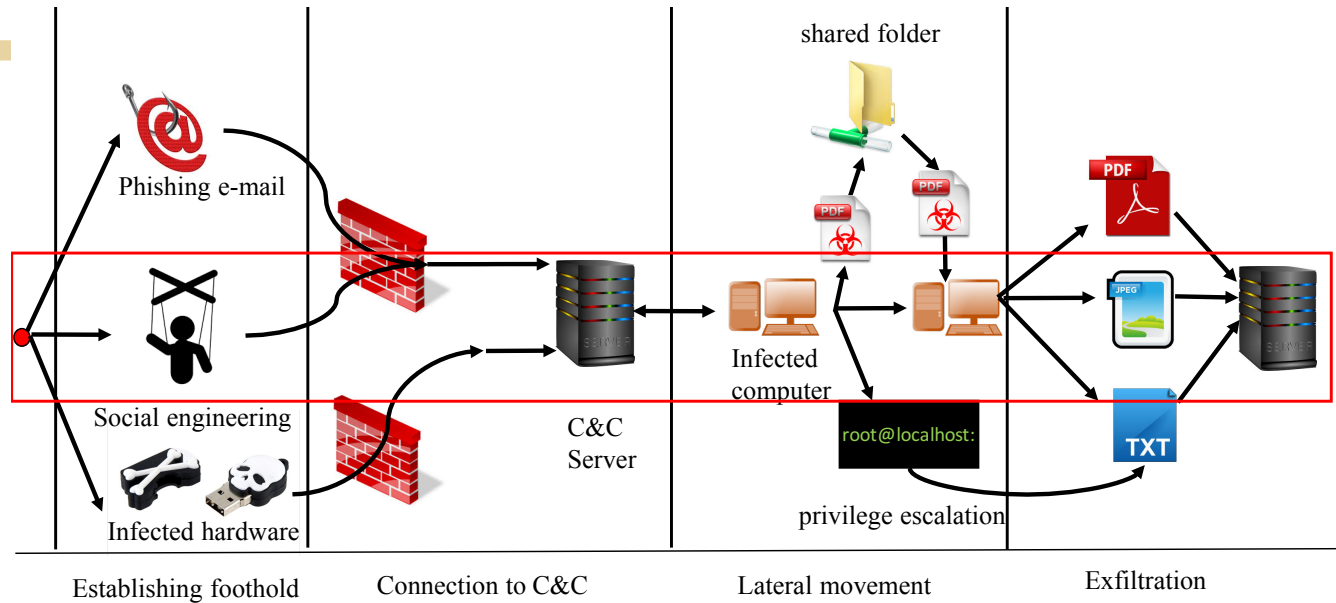


October DoS attack

2016



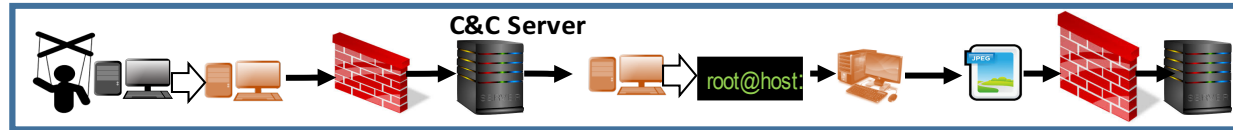
# Structure of Advanced Persistent Threats (APTs)



- Consists of **multiple attack stages**
  - Simultaneous attacks at different entry points
  - Sequential attacks that abruptly change
- Multiple **variants** that share identical steps
- **Persistent** and **adaptive**
- Exploits **zero-day** vulnerabilities

# ADAPT: Analytical Framework for Actionable Defense against Advanced Persistent Threats

Analytical representation of threats



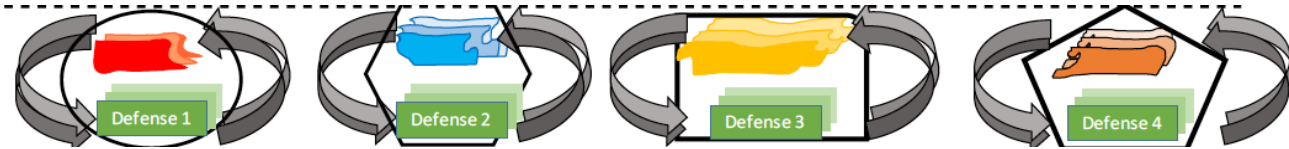
Online and postmortem data driven composed dynamical model

$$\dot{x}_1 = f_1(\alpha, x_1) \quad \dot{x}_2 = f_2(\beta, x_1, x_2) \quad \dot{x}_3 = f_3(\theta, x_2, x_3) \quad \dot{x}_4 = f_4(\zeta, x_3, x_4)$$

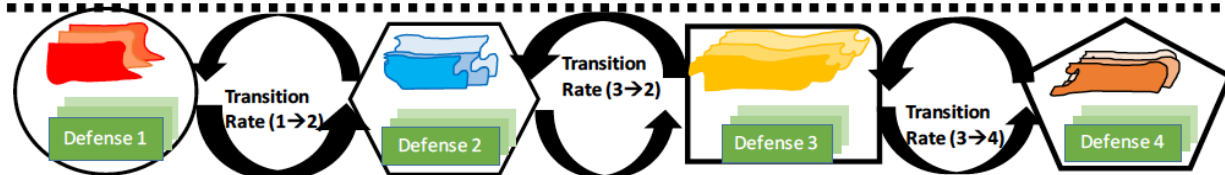
Decomposition based attack variants

$$\dot{x}_1 = f_1(\tilde{\alpha}, x_1) \quad \dot{x}_2 = f_2(\tilde{\beta}, x_1, x_2) \quad \dot{x}_3 = f_3(\tilde{\theta}, x_2, x_3) \quad \dot{x}_4 = f_4(\tilde{\zeta}, x_3, x_4)$$

Local adversarial cyber interaction



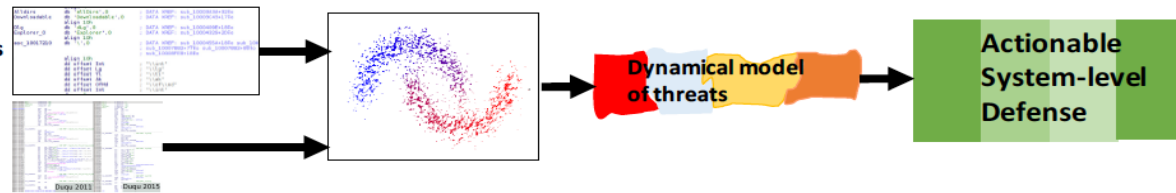
System-Level Adversarial Cyber interaction



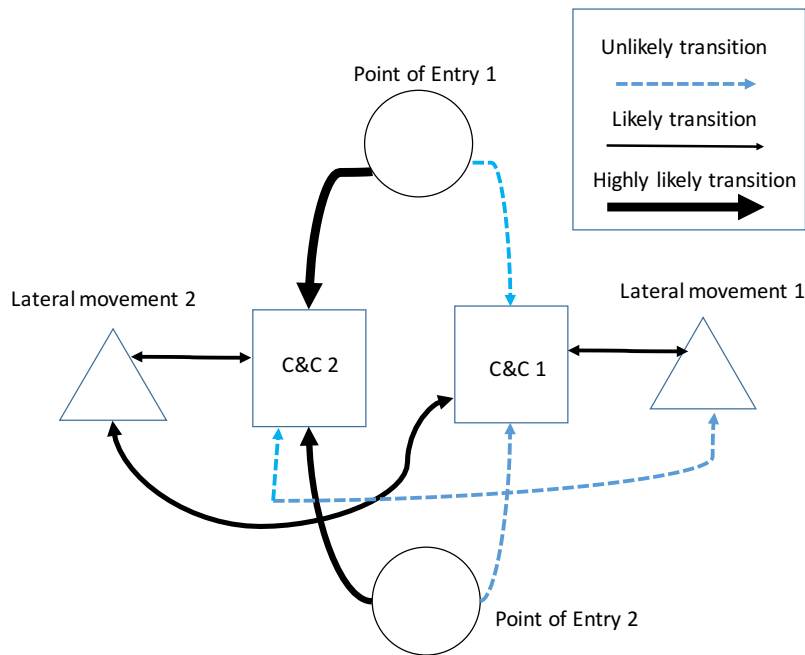
Testing and validation

Real-time attack traces

Postmortem attack data



# Learning under Deception



**Goal:** Refine the adversary model based on observed attack behavior

## Challenges

- Adversarial learning in the presence of evasion and deception
- Quantification of uncertainty of adversarial representation

# Open Questions: Emerging Threats on Cyber-Physical Systems

---

- Modeling interaction between system and adversary at each attack stage
- Identification of attack variants in each local stage
- Mitigation strategies for each local attack stage
- Make decisions with tampered data and deception
- Rules for composing different attack stages
- Can System-level defense strategies be obtained by composing local defenses?



# Human in the Loop

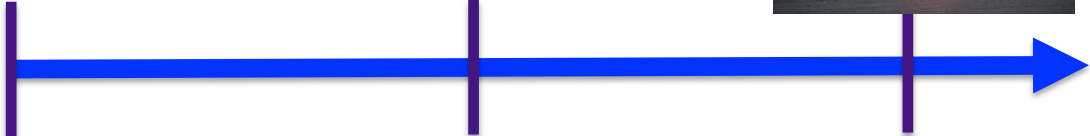
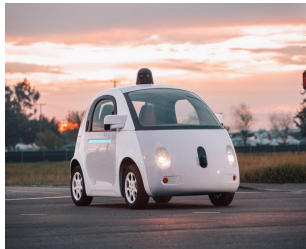


## Challenges:

- Tasks of CPS will be governed by human decision → Changes the performance requirement
- Requires a new level of guarantee for safety and resilience



# Human in the Loop Modalities

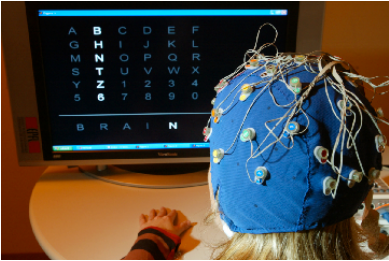


Human-operated

Semi-autonomous

Fully-autonomous

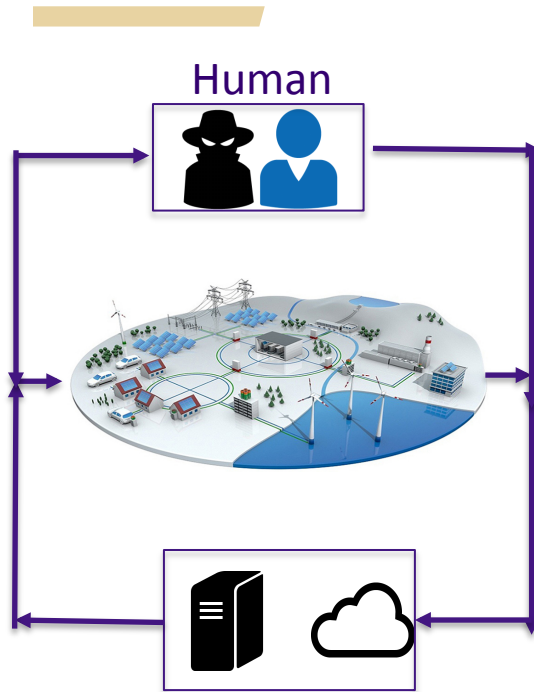
## Human machine interfaces



Level of autonomy and interface introduces new performance, security, and privacy requirements



# Resilience of Human-Cyber-Physical System



Need to incorporate misbehaving and malicious human behaviors

Normal users can be compromised via social engineering

## Challenge:

Provide services while penalizing misbehavior and avoiding malicious attacks

## Possible approaches:

Game-theoretic incentive design [Sastry et al]



# Open Questions: Providing Resilience with Human-in-the Loop

---

- Computational modeling human behavior (Bounded rationality, limited cognition, and time-delay)
- Detecting adversarial human behavior
- Optimal control-sharing between human and CPS
- Design usable CPS interfaces while satisfying resilience requirement
- Learning human behavior with safety and privacy constraints
- Developing verification methods of correct human behavior



# Broader Questions

---

Can we derive Science Laws for joint performance and resilience in CPS domains?

Bounds on the impact of an attack on the performance and resilience

Learn, compute, and estimate in the presence of deception

Factoring Computational Social Component into performance and resilience across CPS domains