

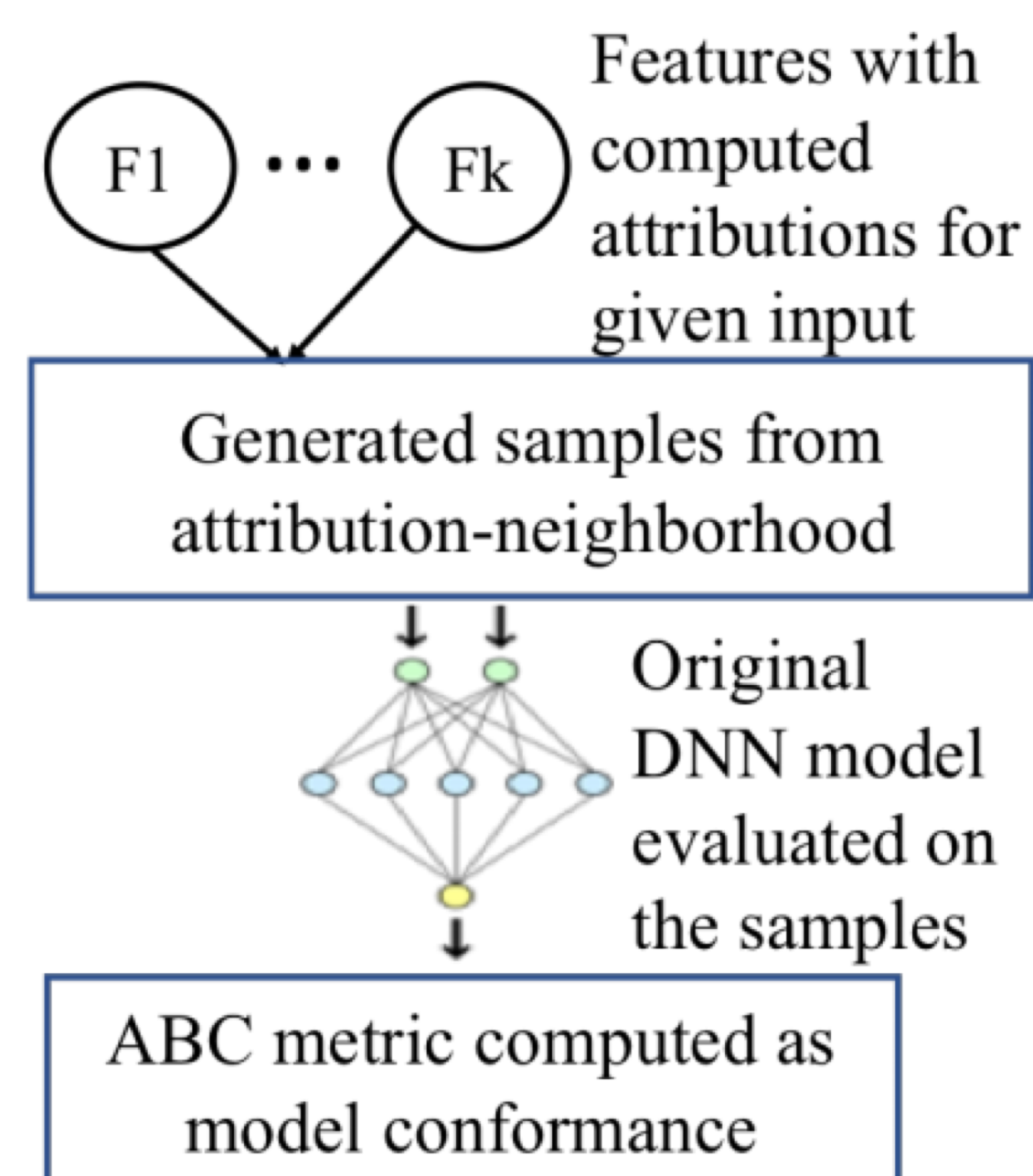
# CPS:Small: Self-Improving Cyber-Physical System. Attribution-Based Confidence (ABC) for Deep Learning Models

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<http://csl.sri.com/users/jha/projects/si-cps/sicyps.html>

The project pursues the goal of developing the science for designing safe, yet optimal, active data-driven adaptive cyber-physical systems. This requires development of data-driven learning techniques that can quantify uncertainty in prediction and report this confidence measure. The rest of CPS will use the learning model's output and its confidence via uncertainty-aware control.

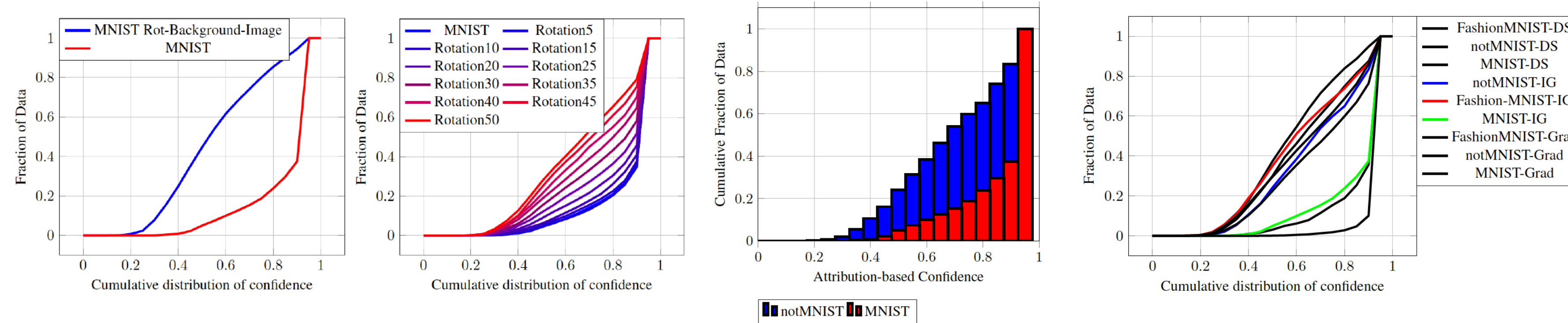
## Focus of the poster: Attribution-based confidence of learned models.



Given an input  $\mathbf{x}$  for a model  $\mathcal{F}$  where  $\mathcal{F}_i$  denotes the  $i$ -th logit output of the model, we can compute attribution of feature  $\mathbf{x}_j$  of  $\mathbf{x}$  for label  $i$  as  $\mathcal{A}_j^i(\mathbf{x})$ . We can then obtain confidence in two steps:

- Sample in neighborhood of  $\mathbf{x}$  by mutating each feature  $\mathbf{x}_j$  with probability  $\frac{|\mathcal{A}_j^i(\mathbf{x})/\mathbf{x}_j|}{\sum_j |\mathcal{A}_j^i(\mathbf{x})/\mathbf{x}_j|}$  where the feature  $\mathbf{x}_j$  is changed to flip the label away from  $i$ .
- Report the fraction of samples points in the neighborhood of input  $\mathbf{x}$  for which the decision of the model conforms to the original decision as the conservatively estimated confidence measure.

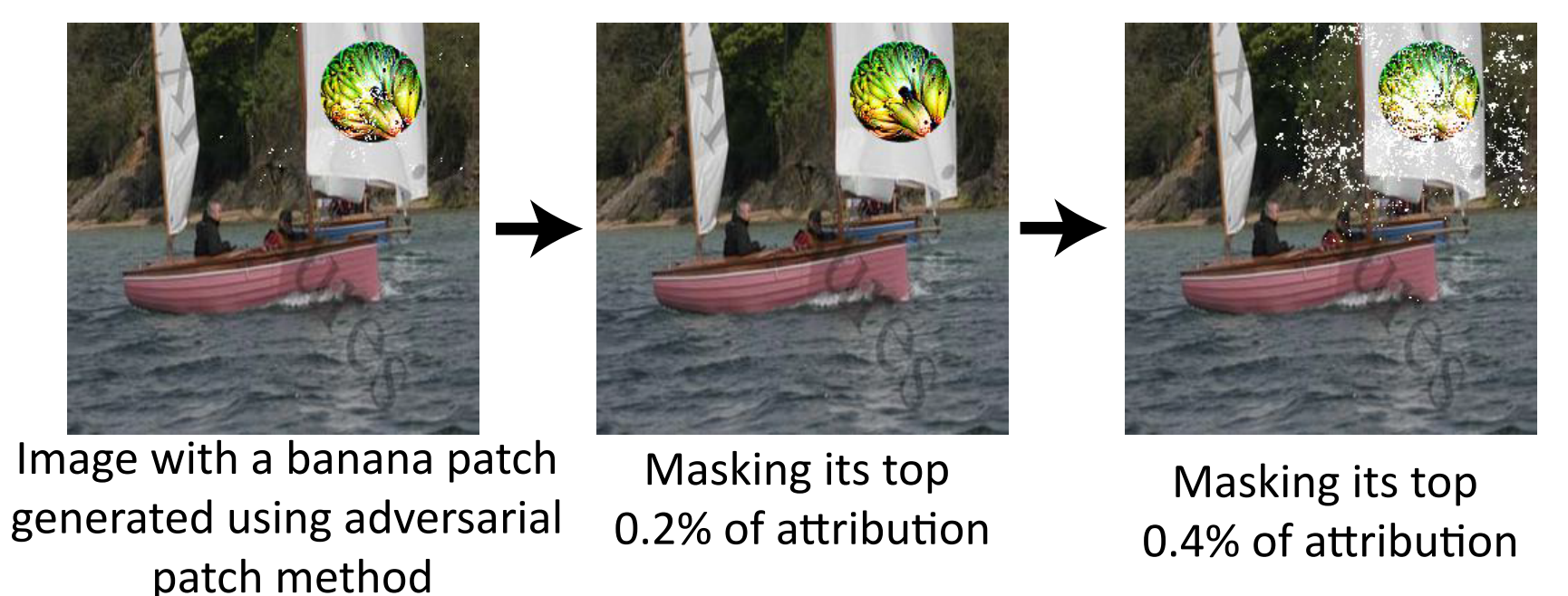
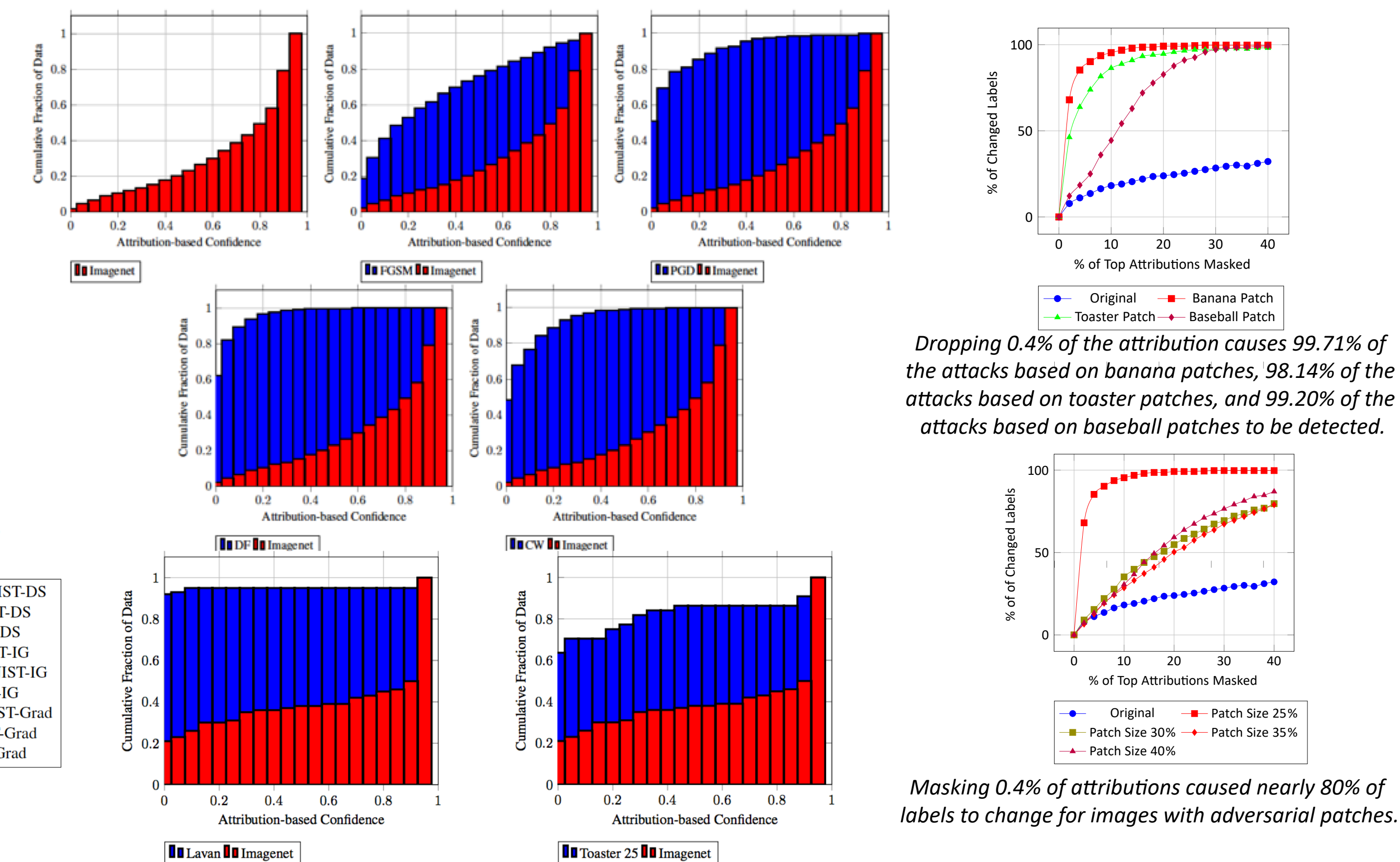
**Theorem 1.** The sensitivity of the output  $\mathcal{F}(\mathbf{x})$  with respect to an input feature  $\mathbf{x}_j$  in the neighborhood of  $\mathbf{x}$  is approximately the ratio of the attribution  $\mathcal{A}_j(\mathbf{x})$  to the value of that feature  $\mathbf{x}_j$ , that is,  $\frac{\mathcal{A}_j(\mathbf{x})}{\mathbf{x}_j}$ .



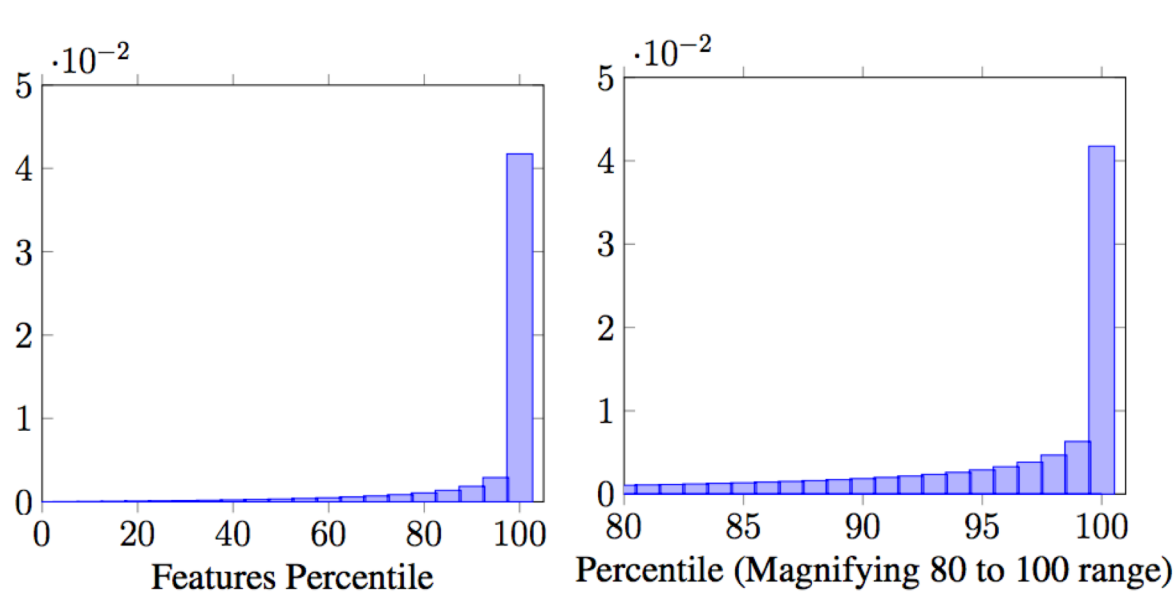
### Summary

We proposed a novel attribution-based confidence (ABC) metric. It does not require access to training data or additional calibration. We empirically evaluated the ABC metric over MNIST and ImageNet datasets using (a) out-of-distribution data, (b) adversarial inputs generated using digital attacks such as FGSM, PGD, CW and DeepFool, and (c) physically-realizable adversarial patches and LaVAN attacks.

**Reference:** Jha et. al. Attribution-Based Confidence (ABC) Metric For Deep Neural Networks. Thirty-third Conference on Neural Information Processing Systems (NeurIPS), 2019



Adversarial attacks lead to low confidence prediction



## Other research results on the project this year:

Logic extraction thrust led publications in JAR'18, NeurIPS'18, FMSD'19, AAI Consciousness Symposium'19 and a tutorial at NSV'19 (co-located with CAV'19) Uncertainty and risk-aware control thrust led to research results published in JAR'18, Allerton'18, American Control Conference'19, and HSCC'19.

## Broader Impact:

3 student interns were supported in part by this project this year. 1 of the 3 students was a woman student. SRI started a collaboration with CodeChix - a non-profit focused on the retention of women in technology ( <https://www.codechix.org/> ). Presented at first joint summit in September, 2019.